



2 Hanson Place
12th Floor
Brooklyn, NY 11217

The Bank of New York Mellon, in its capacity as Trustee, has prepared the information posted by the Sponsor herein in accordance with its duties, obligations and standard of care as set forth in the Trust Agreement.

Report of Organizational Actions Affecting Basis of Securities

OMB No. 1545-0123

► See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)	
SEE APPENDIX A		SEE APPENDIX A	
3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact	
BNY MELLON, TRUSTEE	866-568-8985	BNYM.UIT.ETF.Tax@bnymellon.com	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact		7 City, town, or post office, state, and ZIP code of contact	
P.O. BOX 455		EAST SYRACUSE, NY 13057	
8 Date of action	9 Classification and description		
SEE APPENDIX A	RETURN OF CAPITAL		
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
SEE APPENDIX A	N/A	SEE APPENDIX A	N/A

Part II Organizational Action Attach additional statements if needed. See back of form for additional questions.

- 14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ► DURING THE YEAR ENDED DECEMBER 31, 2017, THE ISSUER DISTRIBUTED AMOUNTS TO ITS UNITHOLDERS, A PORTION OR ALL OF WHICH CONSTITUTED A RETURN OF CAPITAL. SEE APPENDIX A FOR A COMPLETE LIST OF DISTRIBUTIONS AND RETURN OF CAPITAL AMOUNTS.
- 15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ► APPENDIX A PROVIDES THE TOTAL DISTRIBUTIONS PER UNIT, AS WELL AS THE RETURN OF CAPITAL PER UNIT, ON A DISTRIBUTION BY DISTRIBUTION BASIS. THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE TAXPAYER'S ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION.
- 16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ► AN ANALYSIS OF THE ISSUER'S CURRENT AND ACCUMULATED EARNINGS AND PROFITS WAS PERFORMED IN ORDER TO DETERMINE THE PORTION OF THE DISTRIBUTION THAT CONSTITUTED A DIVIDEND AND THE PORTION THAT CONSTITUTED A RETURN OF CAPITAL.

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶

I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ▶ THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶

THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2017.

Sign
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature ▶

Date ▶

Print your name ▶

Title ▶

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☒ if
self-employed

PTIN

Baruch J. Cohen

2/22/2018

P01269048

Firm's name ▶ Deloitte Tax, LLP

Firm's EIN ▶ 86-1065772

Firm's address ▶ 30 ROCKEFELLER PLAZA

NEW YORK, NY 10112-0015

Phone no. 212-492-3600

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust®, Healthcare Innovations Trust, Series1	35-2558642	83183W104	83183W120	83183W138	83183W112		SMTRHC01	3/10/2017	\$ 1.04860	\$ 0.34247
SmartTrust®, Healthcare Innovations Trust, Series1	35-2558642	83183W104	83183W120	83183W138	83183W112		SMTRHC01	11/10/2017	\$ 1.03020	\$ 0.33646
SmartTrust®, Preferreds Plus Trust, Series 1	35-2563662	83183P109	83183P125	83183P133	83183P117		SMTRPP01	11/10/2017	\$ 0.34660	\$ 0.31433
SmartTrust®, Preferreds Plus Trust, Series 1	35-2563662	83183P109	83183P125	83183P133	83183P117		SMTRPP01	12/10/2017	\$ 0.01380	\$ 0.01252
SmartTrust, Zacks GARP Composite 35 Trust, Series11	35-2571340	83185A100	83185A126	83185A134	83185A118		STGARP11	10/10/2017	\$ 0.19210	\$ 0.07146
SmartTrust®, Healthcare Innovations Trust, Series2	35-2571357	83185R103	83185R129	83185R137	83185R111		SMTRHC02	3/10/2017	\$ 1.03760	\$ 0.47232
SmartTrust®, Healthcare Innovations Trust, Series2	35-2571357	83185R103	83185R129	83185R137	83185R111		SMTRHC02	11/10/2017	\$ 1.25390	\$ 0.57078
SmartTrust®, Preferreds Plus Trust, Series 2	35-2574353	83185M104	83185M120	83185M138	83185M112		SMTRPP02	11/10/2017	\$ 0.46810	\$ 0.43159
SmartTrust®, Preferreds Plus Trust, Series 2	35-2574353	83185M104	83185M120	83185M138	83185M112		SMTRPP02	12/10/2017	\$ 0.01870	\$ 0.01724
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 11	35-2576199	83185U106	83185U122	83185U130	83185U114		SMTRAR11	3/10/2017	\$ 0.03110	\$ 0.00832
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 11	35-2576199	83185U106	83185U122	83185U130	83185U114		SMTRAR11	4/10/2017	\$ 0.01370	\$ 0.00366
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 11	35-2576199	83185U106	83185U122	83185U130	83185U114		SMTRAR11	6/10/2017	\$ 0.05180	\$ 0.01386
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 11	35-2576199	83185U106	83185U122	83185U130	83185U114		SMTRAR11	7/10/2017	\$ 0.01630	\$ 0.00436
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 11	35-2576199	83185U106	83185U122	83185U130	83185U114		SMTRAR11	8/10/2017	\$ 0.01390	\$ 0.00372
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 11	35-2576199	83185U106	83185U122	83185U130	83185U114		SMTRAR11	9/10/2017	\$ 0.02800	\$ 0.00749
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 11	35-2576199	83185U106	83185U122	83185U130	83185U114		SMTRAR11	10/10/2017	\$ 0.01880	\$ 0.00503
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 11	35-2576199	83185U106	83185U122	83185U130	83185U114		SMTRAR11	12/10/2017	\$ 0.03240	\$ 0.00867
SmartTrust®, Dorsey Wright International MomentumTrust, Series 6	35-2576201	83185P107	83185P123	83185P131	83185P115		SMTRDW06	6/10/2017	\$ 0.04920	\$ 0.01252
SmartTrust®, Dorsey Wright International MomentumTrust, Series 6	35-2576201	83185P107	83185P123	83185P131	83185P115		SMTRDW06	7/10/2017	\$ 0.02780	\$ 0.00708
SmartTrust®, Dorsey Wright International MomentumTrust, Series 6	35-2576201	83185P107	83185P123	83185P131	83185P115		SMTRDW06	8/10/2017	\$ 0.03310	\$ 0.00842
SmartTrust®, Dorsey Wright International MomentumTrust, Series 6	35-2576201	83185P107	83185P123	83185P131	83185P115		SMTRDW06	12/10/2017	\$ 0.01950	\$ 0.00496
SmartTrust®, Defensive 50 Equities Trust, Series 10	35-2576204	83185W102	83185W128	83185W136	83185W110		STDEF10	3/10/2017	\$ 0.02150	\$ 0.00131
SmartTrust®, Defensive 50 Equities Trust, Series 10	35-2576204	83185W102	83185W128	83185W136	83185W110		STDEF10	4/10/2017	\$ 0.02420	\$ 0.00147
SmartTrust®, Defensive 50 Equities Trust, Series 10	35-2576204	83185W102	83185W128	83185W136	83185W110		STDEF10	6/10/2017	\$ 0.03660	\$ 0.00223
SmartTrust®, Defensive 50 Equities Trust, Series 10	35-2576204	83185W102	83185W128	83185W136	83185W110		STDEF10	7/10/2017	\$ 0.02530	\$ 0.00154
SmartTrust®, Defensive 50 Equities Trust, Series 10	35-2576204	83185W102	83185W128	83185W136	83185W110		STDEF10	8/10/2017	\$ 0.01320	\$ 0.00080
SmartTrust®, Defensive 50 Equities Trust, Series 10	35-2576204	83185W102	83185W128	83185W136	83185W110		STDEF10	9/10/2017	\$ 0.02480	\$ 0.00151
SmartTrust®, Defensive 50 Equities Trust, Series 10	35-2576204	83185W102	83185W128	83185W136	83185W110		STDEF10	10/10/2017	\$ 0.02710	\$ 0.00165
SmartTrust®, Defensive 50 Equities Trust, Series 10	35-2576204	83185W102	83185W128	83185W136	83185W110		STDEF10	11/10/2017	\$ 0.01290	\$ 0.00078
SmartTrust®, Defensive 50 Equities Trust, Series 10	35-2576204	83185W102	83185W128	83185W136	83185W110		STDEF10	12/10/2017	\$ 0.02310	\$ 0.00140
SmartTrust®, Zacks GARP Composite 35 Trust, Series12	35-2576217	83185J101	83185J127	83185J135	83185J119		STGARP12	6/10/2017	\$ 0.01480	\$ 0.01480
SmartTrust®, Zacks GARP Composite 35 Trust, Series12	35-2576217	83185J101	83185J127	83185J135	83185J119		STGARP12	7/10/2017	\$ 0.01600	\$ 0.01600
SmartTrust®, Zacks GARP Composite 35 Trust, Series12	35-2576217	83185J101	83185J127	83185J135	83185J119		STGARP12	10/10/2017	\$ 0.02310	\$ 0.02310
SmartTrust®, Zacks GARP Composite 35 Trust, Series12	35-2576217	83185J101	83185J127	83185J135	83185J119		STGARP12	10/10/2017	\$ 0.16890	\$ 0.16890
SmartTrust®, Sustainable Impact Investing Trust, Series 9	35-2579898	83186K107	83186K123	83186K131	83186K115		SMTRSI09	5/10/2017	\$ 0.01320	\$ 0.01114
SmartTrust®, Sustainable Impact Investing Trust, Series 9	35-2579898	83186K107	83186K123	83186K131	83186K115		SMTRSI09	7/10/2017	\$ 0.02030	\$ 0.01713
SmartTrust®, Sustainable Impact Investing Trust, Series 9	35-2579898	83186K107	83186K123	83186K131	83186K115		SMTRSI09	8/10/2017	\$ 0.01450	\$ 0.01224
SmartTrust®, Sustainable Impact Investing Trust, Series 9	35-2579898	83186K107	83186K123	83186K131	83186K115		SMTRSI09	10/10/2017	\$ 0.02820	\$ 0.02380
SmartTrust®, Sustainable Impact Investing Trust, Series 9	35-2579898	83186K107	83186K123	83186K131	83186K115		SMTRSI09	11/10/2017	\$ 0.01350	\$ 0.01139
SmartTrust®, Preferreds Plus Trust, Series 3	35-2583875	83186T108	83186T124	83186T132	83186T116		SMTRPP03	11/10/2017	\$ 0.45640	\$ 0.44426
SmartTrust®, Preferreds Plus Trust, Series 3	35-2583875	83186T108	83186T124	83186T132	83186T116		SMTRPP03	12/10/2017	\$ 0.01820	\$ 0.01772
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 1	35-2583876	83186D103	83186D129	83186D137	83186D111		SMTRMD01	6/10/2017	\$ 0.04240	\$ 0.00344
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 1	35-2583876	83186D103	83186D129	83186D137	83186D111		SMTRMD01	7/10/2017	\$ 0.02790	\$ 0.00227
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 1	35-2583876	83186D103	83186D129	83186D137	83186D111		SMTRMD01	8/10/2017	\$ 0.01020	\$ 0.00083
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 1	35-2583876	83186D103	83186D129	83186D137	83186D111		SMTRMD01	8/10/2017	\$ 0.09030	\$ 0.09030
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 1	35-2583876	83186D103	83186D129	83186D137	83186D111		SMTRMD01	9/10/2017	\$ 0.03450	\$ 0.00280
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 1	35-2583876	83186D103	83186D129	83186D137	83186D111		SMTRMD01	10/10/2017	\$ 0.03360	\$ 0.00273
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 1	35-2583876	83186D103	83186D129	83186D137	83186D111		SMTRMD01	11/10/2017	\$ 0.01050	\$ 0.00085
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 1	35-2583876	83186D103	83186D129	83186D137	83186D111		SMTRMD01	12/10/2017	\$ 0.03180	\$ 0.00258
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 12	35-2587088	83186X109	83186X125	83186X133	83186X117		SMTRAR12	6/10/2017	\$ 0.03460	\$ 0.00954
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 12	35-2587088	83186X109	83186X125	83186X133	83186X117		SMTRAR12	7/10/2017	\$ 0.01810	\$ 0.00499
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 12	35-2587088	83186X109	83186X125	83186X133	83186X117		SMTRAR12	9/10/2017	\$ 0.03390	\$ 0.00934
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 12	35-2587088	83186X109	83186X125	83186X133	83186X117		SMTRAR12	10/10/2017	\$ 0.02030	\$ 0.00559
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 12	35-2587088	83186X109	83186X125	83186X133	83186X117		SMTRAR12	12/10/2017	\$ 0.02900	\$ 0.00799
SmartTrust®, Defensive 50 Equities Trust, Series 11	35-2587091	83186N101	83186N127	83186N135	83186N119		STDEF11	6/10/2017	\$ 0.02160	\$ 0.00065
SmartTrust®, Defensive 50 Equities Trust, Series 11	35-2587091	83186N101	83186N127	83186N135	83186N119		STDEF11	7/10/2017	\$ 0.02350	\$ 0.00658
SmartTrust®, Defensive 50 Equities Trust, Series 11	35-2587091	83186N101	83186N127	83186N135	83186N119		STDEF11	8/10/2017	\$ 0.01210	\$ 0.00339
SmartTrust®, Defensive 50 Equities Trust, Series 11	35-2587091	83186N101	83186N127	83186N135	83186N119		STDEF11	8/10/2017	\$ 0.08830	\$ 0.08830
SmartTrust®, Defensive 50 Equities Trust, Series 11	35-2587091	83186N101	83186N127	83186N135	83186N119		STDEF11	9/10/2017	\$ 0.02320	\$ 0.00649
SmartTrust®, Defensive 50 Equities Trust, Series 11	35-2587091	83186N101	83186N127	83186N135	83186N119		STDEF11	10/10/2017	\$ 0.02630	\$ 0.00736
SmartTrust®, Defensive 50 Equities Trust, Series 11	35-2587091	83186N101	83186N127	83186N135	83186N119		STDEF11	11/10/2017	\$ 0.01310	\$ 0.00367
SmartTrust®, Defensive 50 Equities Trust, Series 11	35-2587091	83186N101	83186N127	83186N135	83186N119		STDEF11	12/10/2017	\$ 0.02090	\$ 0.00585
SmartTrust®, Zacks GARP Composite 35 Trust, Series13	35-2587092	83186H104	83186H120	83186H138	83186H112		STGARP13	10/10/2017	\$ 0.02190	\$ 0.02190
SmartTrust®, Healthcare Innovations Trust, Series3	35-2590488	83186Y107	83186Y123	83186Y131	83186Y115		SMTRHC03	11/10/2017	\$ 0.75100	\$ 0.58841
SmartTrust®, Sustainable Impact Investing Trust, Series 10	35-2590489	83187A108	83187A124	83187A132	83187A116		SMTRSI10	8/10/2017	\$ 0.01290	\$ 0.00545
SmartTrust®, Sustainable Impact Investing Trust, Series 10	35-2590489	83187A108	83187A124	83187A132	83187A116		SMTRSI10	9/10/2017	\$ 0.01370	\$ 0.00579
SmartTrust®, Sustainable Impact Investing Trust, Series 10	35-2590489	83187A108	83187A124	83187A132	83187A116		SMTRSI10	10/10/2017	\$ 0.01390	\$ 0.00587
SmartTrust®, Sustainable Impact Investing Trust, Series 10	35-2590489	83187A108	83187A124	83187A132	83187A116		SMTRSI10	11/10/2017	\$ 0.01330	\$ 0.00562
SmartTrust®, Sustainable Impact Investing Trust, Series 10	35-2590489	83187A108	83187A124	83187A132	83187A116		SMTRSI10	12/10/2017	\$ 0.01430	\$ 0.00604
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P121	83187P139	83187P113		SMTRMD02	8/10/2017	\$ 0.09070	\$ 0.09070
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P121	83187P139	83187P113		SMTRMD02	9/10/2017	\$ 0.03860	\$ 0.00463
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P121	83187P139	83187P113		SMTRMD02	10/10/2017	\$ 0.03340	\$ 0.00400
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P121	83187P139	83187P113		SMTRMD02	11/10/2017	\$ 0.01450	\$ 0.00174
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P121	83187P139	83187P113		SMTRMD02	12/10/2017	\$ 0.02590	\$ 0.00311
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 13	35-2596550	83187Q103	83187Q129	83187Q137	83187Q111		SMTRAR13	9/10/2017	\$ 0.02220	\$ 0.00787
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 13	35-2596550	83187Q103	83187Q129	83187Q137	83187Q111		SMTRAR13	10/10/2017	\$ 0.01860	\$ 0.00661
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 13	35-2596550	83187Q103	83187Q129	83187Q137	83187Q111		SMTRAR13	12/10/2017	\$ 0.02540	\$ 0.00901
SmartTrust®, Defensive 50 Equities Trust, Series 12	35-2596559	83187L104	83187L120	83187L138	83187L112		STDEF12	8/10/2017	\$ 0.08630	\$ 0.08630
SmartTrust®, Defensive 50 Equities Trust, Series 12	35-2596559	83187L104	83187L120	83187L138	83187L112		STDEF12	9/10/2017	\$ 0.01840	\$ 0.00473
SmartTrust®, Defensive 50 Equities Trust, Series 12	35-2596559	83187L104	83187L120	83187L138	83187L112		STDEF12	10/10/2017	\$ 0.02660	\$ 0.00684
SmartTrust®, Defensive 50 Equities Trust, Series 12	35-2596559	83187L104	83187L120	83187L138	83187L112		STDEF12	12/10/2017	\$ 0.02930	\$ 0.00753
SmartTrust®, Argus Modern Innov										

SmartTrust, Rising Interest Rates Hedge Trust, Series 9	35-2600372	83188G104	83188G120	83188G138	83188G112		STRIRH09	10/10/2017	\$	0.02680	\$	0.00200
SmartTrust, Rising Interest Rates Hedge Trust, Series 9	35-2600372	83188G104	83188G120	83188G138	83188G112		STRIRH09	11/10/2017	\$	0.03930	\$	0.00294
SmartTrust, Rising Interest Rates Hedge Trust, Series 9	35-2600372	83188G104	83188G120	83188G138	83188G112		STRIRH09	12/10/2017	\$	0.04070	\$	0.00304
SmartTrust, Rising Interest Rates Hedge Trust, Series 9	35-2600372	83188G104	83188G120	83188G138	83188G112		STRIRH09	12/18/2017	\$	0.01160	\$	0.00087
SmartTrust, Rising Interest Rates Hedge Trust, Series 9	35-2600372	83188G112	83188G138	83188G104	83188G120		STRIRH09	12/29/2017	\$	0.03310	\$	0.00247
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N125	83188N133	83188N117		SMTRMD03	12/10/2017	\$	0.03340	\$	0.00150
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N125	83188N133	83188N117		SMTRMD03	12/18/2017	\$	0.01790	\$	0.00081
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N133	83188N109	83188N125	83188N117		SMTRMD03	12/29/2017	\$	0.00580	\$	0.00026
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 14	35-2603972	83188K105	83188K121	83188K139	83188K113		SMTRAR14	12/10/2017	\$	0.01720	\$	0.00050
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 14	35-2603972	83188K105	83188K121	83188K139	83188K113		SMTRAR14	12/18/2017	\$	0.01030	\$	0.00030
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 14	35-2603972	83188K113	83188K121	83188K105	83188K139		SMTRAR14	12/29/2017	\$	0.01310	\$	0.00038
SmartTrust®, Dorsey Wright® International Momentum Trust, Series 9	35-2603974	83188P104	83188P120	83188P138	83188P112		SMTRDW09	12/18/2017	\$	0.00690	\$	0.00073
SmartTrust®, Dorsey Wright® International Momentum Trust, Series 9	35-2603974	83188P138	83188P112	83188P120	83188P104		SMTRDW09	12/29/2017	\$	0.00950	\$	0.00100
SmartTrust®, Defensive 50 Equities Trust, Series 13	35-2603975	83188R100	83188R126	83188R134	83188R118		STDEFE13	12/10/2017	\$	0.01560	\$	0.00281
SmartTrust®, Defensive 50 Equities Trust, Series 13	35-2603975	83188R100	83188R126	83188R134	83188R118		STDEFE13	12/18/2017	\$	0.01380	\$	0.00248
SmartTrust®, Defensive 50 Equities Trust, Series 13	35-2603975	83188R100	83188R118	83188R134	83188R126		STDEFE13	12/29/2017	\$	0.01160	\$	0.00209
SmartTrust®, Miller/Howard North American Energy Opportunities Trust, Series 8	35-2603976	83188H102	83188H128	83188H136	83188H110		SMTRMH08	12/10/2017	\$	0.01450	\$	0.01450
SmartTrust®, Enhanced Value II Trust, Series 19	35-2609378	83188X123	83188X131	83188X107	83188X115		SMTRV19	12/29/2017	\$	0.00540	\$	0.00084

Any tax advice included in this written communication was not intended or written to be used, and it cannot be used by the taxpayer, for the purpose of avoiding any penalties that may be imposed by any governmental taxing authority or agency.

Report of Organizational Actions Affecting Basis of Securities

OMB No. 1545-0123

► See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)	
SEE APPENDIX B		SEE APPENDIX B	
3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact	
BNY MELLON, TRUSTEE	866-568-8985	BNYM.UIT.ETF.Tax@bnymellon.com	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact		7 City, town, or post office, state, and ZIP code of contact	
P.O. BOX 455		EAST SYRACUSE, NY 13057	
8 Date of action		9 Classification and description	
SEE APPENDIX B		RETURN OF CAPITAL	
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
SEE APPENDIX B	N/A	SEE APPENDIX B	N/A

Part II Organizational Action Attach additional statements if needed. See back of form for additional questions.

- 14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ► DURING THE YEAR ENDED DECEMBER 31, 2017, THE ISSUER DISTRIBUTED AMOUNTS TO ITS UNITHOLDERS, A PORTION OR ALL OF WHICH CONSTITUTED A RETURN OF CAPITAL. SEE APPENDIX B FOR A COMPLETE LIST OF DISTRIBUTIONS AND RETURN OF CAPITAL AMOUNTS.
- 15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ► APPENDIX B PROVIDES THE TOTAL DISTRIBUTIONS PER UNIT, AS WELL AS THE RETURN OF CAPITAL PER UNIT, ON A DISTRIBUTION BY DISTRIBUTION BASIS. THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE TAXPAYER'S ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION.
- 16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ► AN ANALYSIS OF THE ISSUER'S CURRENT AND ACCUMULATED EARNINGS AND PROFITS WAS PERFORMED IN ORDER TO DETERMINE THE PORTION OF THE DISTRIBUTION THAT CONSTITUTED A DIVIDEND AND THE PORTION THAT CONSTITUTED A RETURN OF CAPITAL.

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶

I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ▶ THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶

THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2017.Sign
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature ▶

Date ▶

Print your name ▶

Title ▶

Paid
Preparer
Use Only

Print/Type preparer's name

Baruch J. Cohen

Preparer's signature

Date

3/12/2018

Check ☒ if
self-employed

PTIN

P01269048

Firm's name ▶ Deloitte Tax, LLP

Firm's EIN ▶ 86-1065772

Firm's address ▶ 30 ROCKEFELLER PLAZA NEW YORK, NY 10112-0015

Phone no. 212-492-3600

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust, Adelante REIT Growth and Income Trust, Series 6	35-2525009	83179K106	83179K122	83179K130	83179K114		STREIT06	2/10/2017	\$ 0.05940	\$ 0.05940
SmartTrust, Closed-End Fund Advisors Select BDC Trust, Series 3	35-2525011	83179D102	83179D128	83179D136	83179D110		STBDC003	1/10/2017	\$ 0.13300	\$ 0.13300
SmartTrust, Closed-End Fund Advisors Select BDC Trust, Series 3	35-2525011	83179D102	83179D128	83179D136	83179D110		STBDC003	2/10/2017	\$ 0.01490	\$ 0.01490
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 7	35-2527539	83179T107	83179T123	83179T131	83179T115		SMTRIG07	1/10/2017	\$ 0.10150	\$ 0.02937
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 7	35-2527539	83179T107	83179T123	83179T131	83179T115		SMTRIG07	2/10/2017	\$ 0.04880	\$ 0.01412
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 7	35-2527539	83179T107	83179T123	83179T131	83179T115		SMTRIG07	3/10/2017	\$ 0.05240	\$ 0.01516
SmartTrust, Strategic Growth & Income Trust, Series 7	35-2529677	83179U104	83179U120	83179U138	83179U112		SMTRSG07	1/10/2017	\$ 0.08450	\$ 0.02296
SmartTrust, Strategic Growth & Income Trust, Series 7	35-2529677	83179U104	83179U120	83179U138	83179U112		SMTRSG07	2/10/2017	\$ 0.04960	\$ 0.01348
SmartTrust, Strategic Growth & Income Trust, Series 7	35-2529677	83179U104	83179U120	83179U138	83179U112		SMTRSG07	3/10/2017	\$ 0.05340	\$ 0.01451
SmartTrust, Strategic Growth & Income Trust, Series 7	35-2529677	83179U104	83179U120	83179U138	83179U112		SMTRSG07	4/10/2017	\$ 0.04760	\$ 0.01293
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 5	35-2529679	83179W100	83179W126	83179W134	83179W118		STNASD05	1/10/2017	\$ 0.01750	\$ 0.00564
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 5	35-2529679	83179W100	83179W126	83179W134	83179W118		STNASD05	4/10/2017	\$ 0.03240	\$ 0.01044
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 5	35-2529679	83179W100	83179W126	83179W134	83179W118		STNASD05	4/10/2017	\$ 0.06970	\$ 0.00670
SmartTrust, CEFA Select BDC Trust, Series 4	35-2534271	83180D109	83180D125	83180D133	83180D117		STBDC004	1/10/2017	\$ 0.08460	\$ 0.00382
SmartTrust, CEFA Select BDC Trust, Series 4	35-2534271	83180D109	83180D125	83180D133	83180D117		STBDC004	2/10/2017	\$ 0.01110	\$ 0.00050
SmartTrust, CEFA Select BDC Trust, Series 4	35-2534271	83180D109	83180D125	83180D133	83180D117		STBDC004	3/10/2017	\$ 0.01110	\$ 0.00050
SmartTrust, CEFA Select BDC Trust, Series 4	35-2534271	83180D109	83180D125	83180D133	83180D117		STBDC004	4/10/2017	\$ 0.19590	\$ 0.00885
SmartTrust, CEFA Select BDC Trust, Series 4	35-2534271	83180D109	83180D125	83180D133	83180D117		STBDC004	6/10/2017	\$ 0.01070	\$ 0.00048
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	1/10/2017	\$ 0.04690	\$ 0.02822
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	2/10/2017	\$ 0.05000	\$ 0.03008
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	3/10/2017	\$ 0.01690	\$ 0.00117
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	4/10/2017	\$ 0.04360	\$ 0.02623
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	5/10/2017	\$ 0.05410	\$ 0.03255
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	6/10/2017	\$ 0.01630	\$ 0.00281
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	7/10/2017	\$ 0.04380	\$ 0.02635
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	8/10/2017	\$ 0.05390	\$ 0.03243
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	9/10/2017	\$ 0.02050	\$ 0.01233
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	10/10/2017	\$ 0.03650	\$ 0.02196
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	10/10/2017	\$ 0.40850	\$ 0.31075
SmartTrust, Adelante REIT Growth and Income Trust, Series 8	35-2544702	83182R106	83182R122	83182R130	83182R114		STREIT08	11/10/2017	\$ 0.05100	\$ 0.03068
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 7	35-2546725	83182U109	83182U125	83182U133	83182U117		STNASD07	4/10/2017	\$ 0.01330	\$ 0.00324
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 7	35-2546725	83182U109	83182U125	83182U133	83182U117		STNASD07	6/10/2017	\$ 0.02450	\$ 0.00598
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 7	35-2546725	83182U109	83182U125	83182U133	83182U117		STNASD07	7/10/2017	\$ 0.22250	\$ 0.05427
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 7	35-2546725	83182U109	83182U125	83182U133	83182U117		STNASD07	8/10/2017	\$ 0.03660	\$ 0.00893
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 7	35-2546725	83182U109	83182U125	83182U133	83182U117		STNASD07	9/10/2017	\$ 0.02060	\$ 0.00502
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 7	35-2546725	83182U109	83182U125	83182U133	83182U117		STNASD07	9/10/2017	\$ 0.06320	\$ 0.02998
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 7	35-2546725	83182U109	83182U125	83182U133	83182U117		STNASD07	10/10/2017	\$ 0.05840	\$ 0.01424
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 7	35-2546725	83182U109	83182U125	83182U133	83182U117		STNASD07	11/10/2017	\$ 0.01020	\$ 0.00249
SmartTrust, NASDAQ International Dividend Achievers Index Trust Series 7	35-2546725	83182U109	83182U125	83182U133	83182U117		STNASD07	11/10/2017	\$ 0.44840	\$ 0.21272
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	1/10/2017	\$ 0.08270	\$ 0.01536
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	2/10/2017	\$ 0.06760	\$ 0.01255
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	3/10/2017	\$ 0.07090	\$ 0.01317
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	4/10/2017	\$ 0.07090	\$ 0.01317
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	5/10/2017	\$ 0.07060	\$ 0.01311
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	6/10/2017	\$ 0.07030	\$ 0.01305
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	7/10/2017	\$ 0.07020	\$ 0.01304
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	8/10/2017	\$ 0.07000	\$ 0.01300
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	9/10/2017	\$ 0.07000	\$ 0.01300
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	10/10/2017	\$ 0.06960	\$ 0.01292
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	11/10/2017	\$ 0.06780	\$ 0.01259
SmartTrust, Portfolio of Closed-End Funds Opportunities Trust, Series 9	35-2546727	83183J103	83183J129	83183J137	83183J111		SMTRIG09	12/10/2017	\$ 0.06790	\$ 0.01261
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	1/10/2017	\$ 0.04690	\$ 0.01528
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	2/10/2017	\$ 0.02260	\$ 0.00737
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	3/10/2017	\$ 0.04310	\$ 0.01405
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	4/10/2017	\$ 0.04290	\$ 0.01398
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	5/10/2017	\$ 0.02130	\$ 0.00694
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	6/10/2017	\$ 0.04160	\$ 0.01356
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	7/10/2017	\$ 0.04210	\$ 0.01372
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	8/10/2017	\$ 0.02170	\$ 0.00707
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	9/10/2017	\$ 0.03330	\$ 0.01085
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	10/10/2017	\$ 0.04630	\$ 0.01509
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	11/10/2017	\$ 0.02260	\$ 0.00737
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	11/10/2017	\$ 0.24050	\$ 0.19413
SmartTrust, Zacks Diversified Equity & Corporate Bond Trust, Series 11	35-2551149	83191C108	83191C124	83191C132	83191C116		SMTRZK11	12/10/2017	\$ 0.03230	\$ 0.01053
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	1/10/2017	\$ 0.04270	\$ 0.01255
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	2/10/2017	\$ 0.03440	\$ 0.01011
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	3/10/2017	\$ 0.02840	\$ 0.00834
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	4/10/2017	\$ 0.04490	\$ 0.01319
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	5/10/2017	\$ 0.04620	\$ 0.01357
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	6/10/2017	\$ 0.04320	\$ 0.01269
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	7/10/2017	\$ 0.03980	\$ 0.01169
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	8/10/2017	\$ 0.04260	\$ 0.01252
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	9/10/2017	\$ 0.04460	\$ 0.01310
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	10/10/2017	\$ 0.04870	\$ 0.01431
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	11/10/2017	\$ 0.02760	\$ 0.00811
SmartTrust, Enhanced Value II Trust, Series 14	35-2551150	83182Y101	83182Y127	83182Y135	83182Y119		SMTRV14	12/10/2017	\$ 0.03120	\$ 0.00917
SmartTrust, Miller/Howard North American Energy Development Trust, Series 2	35-2554398	83184E103	83184E129	83184E137	83184E111		SMTRMH02	3/10/2017	\$ 0.03130	\$ 0.03130
SmartTrust, Miller/Howard North American Energy Development Trust, Series 2	35-2554398	83184E103	83184E129	83184E137	83184E111		SMTRMH02	6/10/2017	\$ 0.02310	\$ 0.02310
SmartTrust*, NASDAQ International Dividend Achievers Index Trust, Series 8	35-2558643	83182W105	83182W121	83182W139	83182W113		STNASD08	2/10/2017	\$ 0.02450	\$ 0.00242
SmartTrust*, NASDAQ International Dividend Achievers Index Trust, Series 8	35-2558643	83182W105	83182W121	83182W139	83182W113		STNASD08	4/10/2017	\$ 0.05100	\$ 0.00503
SmartTrust*, NASDAQ International Dividend Achievers Index Trust, Series 8	35-2558643	83182W105	83182W121	831						

SmartTrust®, Enhanced Value II Trust, Series 17	35-2587090	83186P106	83186P122	83186P130	83186P114			SMTREV17	8/10/2017	\$	0.02150	\$	0.00424
SmartTrust®, Enhanced Value II Trust, Series 17	35-2587090	83186P106	83186P122	83186P130	83186P114			SMTREV17	9/10/2017	\$	0.05880	\$	0.01159
SmartTrust®, Enhanced Value II Trust, Series 17	35-2587090	83186P106	83186P122	83186P130	83186P114			SMTREV17	10/10/2017	\$	0.04030	\$	0.00794
SmartTrust®, Enhanced Value II Trust, Series 17	35-2587090	83186P106	83186P122	83186P130	83186P114			SMTREV17	11/10/2017	\$	0.01520	\$	0.00300
SmartTrust®, Enhanced Value II Trust, Series 17	35-2587090	83186P106	83186P122	83186P130	83186P114			SMTREV17	12/10/2017	\$	0.05100	\$	0.01005
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 12	35-2587093	83186M103	83186M129	83186M137	83186M111			SMTRIG12	6/10/2017	\$	0.04660	\$	0.00536
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 12	35-2587093	83186M103	83186M129	83186M137	83186M111			SMTRIG12	7/10/2017	\$	0.08580	\$	0.00988
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 12	35-2587093	83186M103	83186M129	83186M137	83186M111			SMTRIG12	8/10/2017	\$	0.04910	\$	0.00565
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 12	35-2587093	83186M103	83186M129	83186M137	83186M111			SMTRIG12	9/10/2017	\$	0.04850	\$	0.00558
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 12	35-2587093	83186M103	83186M129	83186M137	83186M111			SMTRIG12	10/10/2017	\$	0.08600	\$	0.00990
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 12	35-2587093	83186M103	83186M129	83186M137	83186M111			SMTRIG12	11/10/2017	\$	0.04810	\$	0.00554
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 12	35-2587093	83186M103	83186M129	83186M137	83186M111			SMTRIG12	12/10/2017	\$	0.04790	\$	0.00551
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 12	35-2587093	83186M103	83186M129	83186M137	83186M111			SMTRIG12	12/18/2017	\$	0.03340	\$	0.00384
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 12	35-2587093	83186M111	83186M137	83186M103	83186M129			SMTRIG12	12/29/2017	\$	0.01410	\$	0.00162
SmartTrust®, Miller/Howard North American Energy Opportunities Trust, Series 6	35-2587096	83186R102	83186R128	83186R136	83186R110			SMTRMH06	9/10/2017	\$	0.03550	\$	0.03550
SmartTrust®, Miller/Howard North American Energy Opportunities Trust, Series 6	35-2587096	83186R102	83186R128	83186R136	83186R110			SMTRMH06	12/10/2017	\$	0.02220	\$	0.02220
SmartTrust®, Adelante REIT Growth & Income Trust, Series 11	35-2594889	83187B106	83187B122	83187B130	83187B114			STREIT11	8/10/2017	\$	0.02850	\$	0.02069
SmartTrust®, Adelante REIT Growth & Income Trust, Series 11	35-2594889	83187B106	83187B122	83187B130	83187B114			STREIT11	9/10/2017	\$	0.01510	\$	0.01096
SmartTrust®, Adelante REIT Growth & Income Trust, Series 11	35-2594889	83187B106	83187B122	83187B130	83187B114			STREIT11	10/10/2017	\$	0.03560	\$	0.02585
SmartTrust®, Adelante REIT Growth & Income Trust, Series 11	35-2594889	83187B106	83187B122	83187B130	83187B114			STREIT11	11/10/2017	\$	0.04650	\$	0.03376
SmartTrust®, Adelante REIT Growth & Income Trust, Series 11	35-2594889	83187B106	83187B122	83187B130	83187B114			STREIT11	12/10/2017	\$	0.01930	\$	0.01401
SmartTrust®, Adelante REIT Growth & Income Trust, Series 11	35-2594889	83187B106	83187B122	83187B130	83187B114			STREIT11	12/18/2017	\$	0.04190	\$	0.03042
SmartTrust®, Adelante REIT Growth & Income Trust, Series 11	35-2594889	83187B130	83187B122	83187B106	83187B114			STREIT11	12/29/2017	\$	0.03070	\$	0.02229
SmartTrust®, Strategic Growth & Income Trust, Series 12	35-2594890	83187K106	83187K122	83187K130	83187K114			SMTRSG12	8/10/2017	\$	0.03380	\$	0.00098
SmartTrust®, Strategic Growth & Income Trust, Series 12	35-2594890	83187K106	83187K122	83187K130	83187K114			SMTRSG12	9/10/2017	\$	0.05710	\$	0.00166
SmartTrust®, Strategic Growth & Income Trust, Series 12	35-2594890	83187K106	83187K122	83187K130	83187K114			SMTRSG12	10/10/2017	\$	0.06800	\$	0.00198
SmartTrust®, Strategic Growth & Income Trust, Series 12	35-2594890	83187K106	83187K122	83187K130	83187K114			SMTRSG12	11/10/2017	\$	0.01240	\$	0.001240
SmartTrust®, Strategic Growth & Income Trust, Series 12	35-2594890	83187K106	83187K122	83187K130	83187K114			SMTRSG12	11/10/2017	\$	0.04320	\$	0.00126
SmartTrust®, Strategic Growth & Income Trust, Series 12	35-2594890	83187K106	83187K122	83187K130	83187K114			SMTRSG12	12/10/2017	\$	0.05680	\$	0.00165
SmartTrust®, Dynamic Sector Income Trust, Series 18	35-2594892	83187G105	83187G121	83187G139	83187G113			SMTRDS18	8/10/2017	\$	0.02280	\$	0.00508
SmartTrust®, Dynamic Sector Income Trust, Series 18	35-2594892	83187G105	83187G121	83187G139	83187G113			SMTRDS18	9/10/2017	\$	0.05010	\$	0.01117
SmartTrust®, Dynamic Sector Income Trust, Series 18	35-2594892	83187G105	83187G121	83187G139	83187G113			SMTRDS18	10/10/2017	\$	0.04700	\$	0.01048
SmartTrust®, Dynamic Sector Income Trust, Series 18	35-2594892	83187G105	83187G121	83187G139	83187G113			SMTRDS18	11/10/2017	\$	0.02810	\$	0.00627
SmartTrust®, Dynamic Sector Income Trust, Series 18	35-2594892	83187G105	83187G121	83187G139	83187G113			SMTRDS18	12/10/2017	\$	0.05040	\$	0.01124
SmartTrust®, Dynamic Sector Income Trust, Series 18	35-2594892	83187G105	83187G121	83187G139	83187G113			SMTRDS18	12/18/2017	\$	0.00810	\$	0.00181
SmartTrust®, Dynamic Sector Income Trust, Series 18	35-2594892	83187G105	83187G113	83187G121	83187G139			SMTRDS18	12/29/2017	\$	0.02030	\$	0.00453
SmartTrust®, CEFA Select BDC Trust, Series 10	35-2596557	83187N100	83187N126	83187N134	83187N118			STBDC010	10/10/2017	\$	0.19700	\$	0.01034
SmartTrust®, CEFA Select BDC Trust, Series 10	35-2596557	83187N100	83187N126	83187N134	83187N118			STBDC010	11/10/2017	\$	0.02030	\$	0.00107
SmartTrust®, CEFA Select BDC Trust, Series 10	35-2596557	83187N100	83187N126	83187N134	83187N118			STBDC010	12/10/2017	\$	0.02230	\$	0.00117
SmartTrust®, CEFA Select BDC Trust, Series 10	35-2596557	83187N100	83187N126	83187N134	83187N118			STBDC010	12/18/2017	\$	0.14440	\$	0.00758
SmartTrust®, Miller/Howard North American Energy Opportunities Trust, Series 7	35-2596560	83187J109	83187J125	83187J133	83187J117			SMTRMH07	12/10/2017	\$	0.01160	\$	0.01160
SmartTrust®, Preferreds Plus Trust, Series 4	35-2596561	83187H103	83187H129	83187H137	83187H111			SMTRPP04	11/10/2017	\$	0.36420	\$	0.33984
SmartTrust®, Preferreds Plus Trust, Series 4	35-2596561	83187H103	83187H129	83187H137	83187H111			SMTRPP04	12/10/2017	\$	0.01340	\$	0.01250
SmartTrust®, E-Commerce Ecosystem Trust, Series 1	35-2600370	83187R101	83187R127	83187R135	83187R119			SMTREC01	12/10/2017	\$	0.01940	\$	0.00961
SmartTrust®, E-Commerce Ecosystem Trust, Series 1	35-2600370	83187R101	83187R127	83187R135	83187R119			SMTREC01	12/18/2017	\$	0.00710	\$	0.00352
SmartTrust®, E-Commerce Ecosystem Trust, Series 1	35-2600370	83187R119	83187R127	83187R135	83187R101			SMTREC01	12/29/2017	\$	0.00400	\$	0.00198
SmartTrust®, Enhanced Value II Trust, Series 18	35-2600371	83187F107	83187F123	83187F131	83187F115			SMTREV18	10/10/2017	\$	0.01580	\$	0.00751
SmartTrust®, Enhanced Value II Trust, Series 18	35-2600371	83187F107	83187F123	83187F131	83187F115			SMTREV18	11/10/2017	\$	0.03450	\$	0.01640
SmartTrust®, Enhanced Value II Trust, Series 18	35-2600371	83187F107	83187F123	83187F131	83187F115			SMTREV18	12/10/2017	\$	0.04310	\$	0.02049
SmartTrust®, Enhanced Value II Trust, Series 18	35-2600371	83187F107	83187F123	83187F131	83187F115			SMTREV18	12/18/2017	\$	0.00470	\$	0.00223
SmartTrust®, Enhanced Value II Trust, Series 18	35-2600371	83187F107	83187F131	83187F123	83187F115			SMTREV18	12/29/2017	\$	0.01800	\$	0.00856
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 13	35-2600374	83187W100	83187W126	83187W134	83187W118			SMTRIG13	10/10/2017	\$	0.05800	\$	0.00428
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 13	35-2600374	83187W100	83187W126	83187W134	83187W118			SMTRIG13	11/10/2017	\$	0.05580	\$	0.00412
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 13	35-2600374	83187W100	83187W126	83187W134	83187W118			SMTRIG13	12/10/2017	\$	0.05830	\$	0.00430
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 13	35-2600374	83187W100	83187W126	83187W134	83187W118			SMTRIG13	12/18/2017	\$	0.03270	\$	0.00241
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 13	35-2600374	83187W100	83187W134	83187W126	83187W118			SMTRIG13	12/29/2017	\$	0.02720	\$	0.00201
SmartTrust®, Dynamic Sector Income Trust, Series 19	35-2603977	83189L102	83189L128	83189L136	83189L110			SMTRDS19	12/10/2017	\$	0.04880	\$	0.00886
SmartTrust®, Dynamic Sector Income Trust, Series 19	35-2603977	83189L110	83189L102	83189L128	83189L136			SMTRDS19	12/29/2017	\$	0.02280	\$	0.00414
SmartTrust®, Zacks GARP Composite 35 Trust, Series 15	35-2606603	83187X108	83187X124	83187X132	83187X116			STGARP15	12/18/2017	\$	0.01870	\$	0.00251
SmartTrust®, CEFA Select BDC Trust, Series 11	35-2606604	83187Y106	83187Y122	83187Y130	83187Y114			STBDC011	12/10/2017	\$	0.03210	\$	0.00378
SmartTrust®, CEFA Select BDC Trust, Series 11	35-2606604	83187Y106	83187Y122	83187Y130	83187Y114			STBDC011	12/18/2017	\$	0.15690	\$	0.01848
SmartTrust®, CEFA Select BDC Trust, Series 11	35-2606604	83187Y106	83187Y122	83187Y130	83187Y114			STBDC011	12/29/2017	\$	0.01920	\$	0.00226
SmartTrust®, Preferreds Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112			SMTRPP05	12/10/2017	\$	0.01950	\$	0.00027
SmartTrust®, Preferreds Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112			SMTRPP05	12/18/2017	\$	0.02590	\$	0.00037
SmartTrust®, Preferreds Plus Trust, Series 5	35-2606606	83189B112	83189B104	83189B138	83189B120			SMTRPP05	12/29/2017	\$	0.02630	\$	0.00037
SmartTrust®, Adelante REIT Growth & Income Trust, Series 12	35-2609377	83188U111	83188U103	83188U129	83188U137			STREIT12	12/29/2017	\$	0.07970	\$	0.05333

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