



**BNY MELLON**

2 Hanson Place  
12th Floor  
Brooklyn, NY 11217

The Bank of New York Mellon, in its capacity as Trustee, has prepared the information posted by the Sponsor herein in accordance with its duties, obligations and standard of care as set forth in the Trust Agreement.



**Part II** Organizational Action (continued)


17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶  
I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ▶ THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.


19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶  
THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2018.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature ▶  Date ▶ 2/20/19  
Print your name ▶ Gerardo Cipriano Title ▶ VP

Paid Preparer Use Only

Print/Type preparer's name <u>Baruch J. Cohen</u>	Preparer's signature 	Date <u>2/20/2019</u>	Check <input checked="" type="checkbox"/> if self-employed	PTIN <u>P01269048</u>
Firm's name ▶ <u>Deloitte Tax, LLP</u>	Firm's address ▶ <u>30 ROCKEFELLER PLAZA NEW YORK, NY 10112-0015</u>		Firm's EIN ▶ <u>86-1065772</u>	Phone no. <u>212-492-3600</u>

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Form 8937 Appendix A  
December 31, 2018

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	3/10/2018	0.02180	0.00811
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	4/10/2018	0.02070	0.00770
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	5/10/2018	0.01950	0.00650
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	6/10/2018	0.01830	0.00530
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	7/10/2018	0.02050	0.00750
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	8/10/2018	0.01960	0.00660
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	9/10/2018	0.01780	0.00663
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	10/10/2018	0.03170	0.01254
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	11/10/2018	0.01560	0.00581
SmartTrust <sup>SM</sup> , NASDAQ International Dividend Achievers Index Trust, Series 10	35-2576203	83185K108	83185K116	83185K132	83185K124		STNASD10	12/10/2018	0.01690	0.00629
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	1/10/2018	0.06750	0.01104
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	2/10/2018	0.02100	0.00344
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	3/10/2018	0.03680	0.00602
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	4/10/2018	0.06480	0.01060
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	5/10/2018	0.02660	0.00435
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	6/10/2018	0.02950	0.00479
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	7/10/2018	0.06600	0.01080
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	8/10/2018	0.02640	0.00432
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	9/10/2018	0.02980	0.00488
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	10/10/2018	0.06480	0.01060
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	11/10/2018	0.02070	0.00339
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 3	35-2583875	83186T108	83186T116	83186T132	83186T124		SMTRPP03	12/10/2018	0.03970	0.00584
SmartTrust <sup>SM</sup> , Sustainable Impact Investing Trust, Series 10	35-2590489	83187A108	83187A116	83187A132	83187A124		SMTRSI10	12/10/2018	0.19920	0.12524
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	1/10/2018	0.03730	0.00918
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	2/10/2018	0.02290	0.00564
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	3/10/2018	0.03420	0.00842
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	4/10/2018	0.03280	0.00808
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	5/10/2018	0.01340	0.00330
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	6/10/2018	0.02870	0.00707
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	7/10/2018	0.03840	0.00945
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	8/10/2018	0.03430	0.00850
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	9/10/2018	0.04010	0.00987
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	10/10/2018	0.02910	0.00716
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	11/10/2018	0.01300	0.00323
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 2	35-2594891	83187P105	83187P113	83187P139	83187P121		SMTRMD02	12/10/2018	0.03850	0.00948
SmartTrust <sup>SM</sup> , Dorsey Wright <sup>SM</sup> International Momentum Trust, Series 8	35-2596558	83187M102	83187M110	83187M136	83187M128		SMTRDWO8	5/10/2018	0.02830	0.02384
SmartTrust <sup>SM</sup> , Dorsey Wright <sup>SM</sup> International Momentum Trust, Series 8	35-2596558	83187M102	83187M110	83187M136	83187M128		SMTRDWO8	6/10/2018	0.06080	0.05122
SmartTrust <sup>SM</sup> , Dorsey Wright <sup>SM</sup> International Momentum Trust, Series 8	35-2596558	83187M102	83187M110	83187M136	83187M128		SMTRDWO8	7/10/2018	0.03040	0.02561
SmartTrust <sup>SM</sup> , Dorsey Wright <sup>SM</sup> International Momentum Trust, Series 8	35-2596558	83187M102	83187M110	83187M136	83187M128		SMTRDWO8	8/10/2018	0.01800	0.01517
SmartTrust <sup>SM</sup> , Argus Modern Innovators Trust, Series 1	35-2596562	83188J108	83188J116	83188J132	83188J124		SMTRM01	3/10/2018	0.01520	0.00442
SmartTrust <sup>SM</sup> , Argus Modern Innovators Trust, Series 1	35-2596562	83188J108	83188J116	83188J132	83188J124		SMTRM01	4/10/2018	0.01380	0.00401
SmartTrust <sup>SM</sup> , Argus Modern Innovators Trust, Series 1	35-2596562	83188J108	83188J116	83188J132	83188J124		SMTRM01	6/10/2018	0.01210	0.00352
SmartTrust <sup>SM</sup> , Argus Modern Innovators Trust, Series 1	35-2596562	83188J108	83188J116	83188J132	83188J124		SMTRM01	7/10/2018	0.01400	0.00407
SmartTrust <sup>SM</sup> , Argus Modern Innovators Trust, Series 1	35-2596562	83188J108	83188J116	83188J132	83188J124		SMTRM01	9/10/2018	0.01860	0.00540
SmartTrust <sup>SM</sup> , Argus Modern Innovators Trust, Series 1	35-2596562	83188J108	83188J116	83188J132	83188J124		SMTRM01	11/10/2018	0.01380	0.00401
SmartTrust <sup>SM</sup> , Argus Modern Innovators Trust, Series 1	35-2596562	83188J108	83188J116	83188J132	83188J124		SMTRM01	12/10/2018	0.01340	0.00389
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	1/10/2018	0.06120	0.00870
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	2/10/2018	0.02120	0.00301
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	3/10/2018	0.04380	0.00622
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	4/10/2018	0.05930	0.00786
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	5/10/2018	0.02460	0.00350
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	6/10/2018	0.03640	0.00517
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	7/10/2018	0.05800	0.00824
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	8/10/2018	0.02440	0.00347
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	9/10/2018	0.03830	0.00544
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	10/10/2018	0.05540	0.00787
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	11/10/2018	0.02030	0.00288
SmartTrust <sup>SM</sup> , Preferred Plus Trust, Series 4	35-2596561	83187H103	83187H111	83187H137	83187H129		SMTRPP04	12/10/2018	0.04310	0.00612
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	1/10/2018	0.01040	0.00290
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	2/10/2018	0.02290	0.00639
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	3/10/2018	0.01070	0.00291
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	4/10/2018	0.03800	0.01060
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	5/10/2018	0.01320	0.00368
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	6/10/2018	0.02610	0.00728
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	7/10/2018	0.04090	0.01141
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	8/10/2018	0.01370	0.00382
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	9/10/2018	0.03480	0.00971
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	10/10/2018	0.03420	0.00954
SmartTrust <sup>SM</sup> , Morningstar Dividend Yield Select Trust, Series 3	35-2603971	83188N109	83188N117	83188N133	83188N125		SMTRMD03	11/10/2018	0.01320	0.00368
SmartTrust <sup>SM</sup> , Argus Dividend Growers Total Return Trust, Series 14	35-2603972	83188K105	83188K113	83188K139	83188K121		SMTRAR14	3/10/2018	0.02900	0.01522
SmartTrust <sup>SM</sup> , Argus Dividend Growers Total Return Trust, Series 14	35-2603972	83188K105	83188K113	83188K139	83188K121		SMTRAR14	4/10/2018	0.01740	0.00913
SmartTrust <sup>SM</sup> , Argus Dividend Growers Total Return Trust, Series 14	35-2603972	83188K105	83188K113	83188K139	83188K121		SMTRAR14	6/10/2018	0.02870	0.01506
SmartTrust <sup>SM</sup> , Argus Dividend Growers Total Return Trust, Series 14	35-2603972	83188K105	83188K113	83188K139	83188K121		SMTRAR14	7/10/2018	0.01860	0.00976
SmartTrust <sup>SM</sup> , Argus Dividend Growers Total Return Trust, Series 14	35-2603972	83188K105	8318							

Form 8937 Appendix A  
December 31, 2018

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust*, Miller/Howard North American Energy Opportunities Trust, Series 8	35-2603976	83188H102	83188H110	83188H136	83188H128		SMTRMH08	12/10/2018	0.04150	0.03579
SmartTrust*, Zacks GARP Composite 35 Trust, Series15	35-2606603	83187X108	83187X116	83187X132	83187X124		STGARP15	4/10/2018	0.02340	0.02850
SmartTrust*, Zacks GARP Composite 35 Trust, Series15	35-2606603	83187X108	83187X116	83187X132	83187X124		STGARP15	6/10/2018	0.01110	0.00421
SmartTrust*, Zacks GARP Composite 35 Trust, Series15	35-2606603	83187X108	83187X116	83187X132	83187X124		STGARP15	7/10/2018	0.02310	0.00877
SmartTrust*, Zacks GARP Composite 35 Trust, Series15	35-2606603	83187X108	83187X116	83187X132	83187X124		STGARP15	10/10/2018	0.02780	0.01055
SmartTrust*, Zacks GARP Composite 35 Trust, Series15	35-2606603	83187X108	83187X116	83187X132	83187X124		STGARP15	12/10/2018	0.37950	0.31366
SmartTrust*, Sustainable Impact Investing Trust, Series 11	35-2606605	83188C103	83188C111	83188C137	83188C129		SMTRS11	3/10/2018	0.01040	0.00310
SmartTrust*, Sustainable Impact Investing Trust, Series 11	35-2606605	83188C103	83188C111	83188C137	83188C129		SMTRS11	4/10/2018	0.01950	0.00581
SmartTrust*, Sustainable Impact Investing Trust, Series 11	35-2606605	83188C103	83188C111	83188C137	83188C129		SMTRS11	5/10/2018	0.01630	0.00485
SmartTrust*, Sustainable Impact Investing Trust, Series 11	35-2606605	83188C103	83188C111	83188C137	83188C129		SMTRS11	7/10/2018	0.02880	0.00857
SmartTrust*, Sustainable Impact Investing Trust, Series 11	35-2606605	83188C103	83188C111	83188C137	83188C129		SMTRS11	8/10/2018	0.01800	0.00536
SmartTrust*, Sustainable Impact Investing Trust, Series 11	35-2606605	83188C103	83188C111	83188C137	83188C129		SMTRS11	9/10/2018	0.01310	0.00390
SmartTrust*, Sustainable Impact Investing Trust, Series 11	35-2606605	83188C103	83188C111	83188C137	83188C129		SMTRS11	10/10/2018	0.02110	0.00628
SmartTrust*, Sustainable Impact Investing Trust, Series 11	35-2606605	83188C103	83188C111	83188C137	83188C129		SMTRS11	11/10/2018	0.01580	0.00470
SmartTrust*, Sustainable Impact Investing Trust, Series 11	35-2606605	83188C103	83188C111	83188C137	83188C129		SMTRS11	12/10/2018	0.01420	0.00423
SmartTrust*, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B112	83189B138	83189B120		SMTRPP05	11/10/2018	0.19250	0.18486
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	2/10/2018	0.01230	0.00332
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	3/10/2018	0.02540	0.00683
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	4/10/2018	0.03900	0.01052
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	5/10/2018	0.01020	0.00275
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	6/10/2018	0.02140	0.00577
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	7/10/2018	0.04370	0.01179
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	8/10/2018	0.01250	0.00337
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	9/10/2018	0.02920	0.00788
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	10/10/2018	0.03850	0.01038
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	11/10/2018	0.01110	0.00299
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 4	35-2609380	83189A106	83189A114	83189A130	83189A122		SMTRMD04	12/10/2018	0.02760	0.00744
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 15	35-2609381	83189H101	83189H119	83189H135	83189H127		SMTRAR15	3/10/2018	0.01710	0.00909
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 15	35-2609381	83189H101	83189H119	83189H135	83189H127		SMTRAR15	4/10/2018	0.01080	0.00574
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 15	35-2609381	83189H101	83189H119	83189H135	83189H127		SMTRAR15	6/10/2018	0.02290	0.01270
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 15	35-2609381	83189H101	83189H119	83189H135	83189H127		SMTRAR15	7/10/2018	0.01970	0.01047
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 15	35-2609381	83189H101	83189H119	83189H135	83189H127		SMTRAR15	9/10/2018	0.03480	0.01318
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 15	35-2609381	83189H101	83189H119	83189H135	83189H127		SMTRAR15	10/10/2018	0.02020	0.01073
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 15	35-2609381	83189H101	83189H119	83189H135	83189H127		SMTRAR15	12/10/2018	0.02180	0.01158
SmartTrust*, Dorsey Wright* International Momentum Trust, Series 10	35-7195183	83189R109	83189R117	83189R133	83189R125		SMTRDWD10	4/10/2018	0.02450	0.01239
SmartTrust*, Dorsey Wright* International Momentum Trust, Series 10	35-7195183	83189R109	83189R117	83189R133	83189R125		SMTRDWD10	5/10/2018	0.01630	0.00824
SmartTrust*, Dorsey Wright* International Momentum Trust, Series 10	35-7195183	83189R109	83189R117	83189R133	83189R125		SMTRDWD10	6/10/2018	0.03510	0.01775
SmartTrust*, Dorsey Wright* International Momentum Trust, Series 10	35-7195183	83189R109	83189R117	83189R133	83189R125		SMTRDWD10	7/10/2018	0.01500	0.00759
SmartTrust*, Dorsey Wright* International Momentum Trust, Series 10	35-7195183	83189R109	83189R117	83189R133	83189R125		SMTRDWD10	8/10/2018	0.01460	0.00738
SmartTrust*, Dorsey Wright* International Momentum Trust, Series 10	35-7195183	83189R109	83189R117	83189R133	83189R125		SMTRDWD10	10/10/2018	0.02690	0.01361
SmartTrust*, Dorsey Wright* International Momentum Trust, Series 10	35-7195183	83189R109	83189R117	83189R133	83189R125		SMTRDWD10	12/10/2018	0.01100	0.00556
SmartTrust*, Miller/Howard North American Energy Opportunities Trust, Series 9	35-7195184	83189T105	83189T113	83189T139	83189T121		SMTRMH09	3/10/2018	0.01620	0.00336
SmartTrust*, Miller/Howard North American Energy Opportunities Trust, Series 9	35-7195184	83189T105	83189T113	83189T139	83189T121		SMTRMH09	9/10/2018	0.03280	0.00681
SmartTrust*, Miller/Howard North American Energy Opportunities Trust, Series 9	35-7195184	83189T105	83189T113	83189T139	83189T121		SMTRMH09	12/10/2018	0.03930	0.00629
SmartTrust*, Miller/Howard North American Energy Opportunities Trust, Series 9	35-7195184	83189T105	83189T113	83189T139	83189T121		SMTRMH09	12/19/2018	0.03950	0.00950
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	3/10/2018	0.01190	0.00351
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	4/10/2018	0.02390	0.00705
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	5/10/2018	0.01440	0.00425
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	6/10/2018	0.01870	0.00551
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	7/10/2018	0.02290	0.00675
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	8/10/2018	0.01550	0.00457
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	9/10/2018	0.01830	0.00539
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	10/10/2018	0.02340	0.00690
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	11/10/2018	0.01550	0.00457
SmartTrust*, Defensive 50 Equities Trust, Series 14	35-7195185	83189U102	83189U110	83189U136	83189U128		STDEF14	12/10/2018	0.01770	0.00522
SmartTrust*, Zacks GARP Composite Trust, Series 16	35-7195186	83189K104	83189K112	83189K138	83189K120		STGARP16	4/10/2018	0.02680	0.01817
SmartTrust*, Zacks GARP Composite Trust, Series 16	35-7195186	83189K104	83189K112	83189K138	83189K120		STGARP16	7/10/2018	0.03190	0.02163
SmartTrust*, Zacks GARP Composite Trust, Series 16	35-7195186	83189K104	83189K112	83189K138	83189K120		STGARP16	10/10/2018	0.03380	0.02291
SmartTrust*, Zacks GARP Composite Trust, Series 16	35-7195186	83189K104	83189K112	83189K138	83189K120		STGARP16	12/10/2018	0.01170	0.00793
SmartTrust*, Argus Modern Innovators Trust, Series2	35-7615565	83189G103	83189G111	83189G137	83189G129		SMTRM02	5/10/2018	0.01210	0.00836
SmartTrust*, Argus Modern Innovators Trust, Series2	35-7615565	83189G103	83189G111	83189G137	83189G129		SMTRM02	7/10/2018	0.01740	0.01202
SmartTrust*, Argus Modern Innovators Trust, Series2	35-7615565	83189G103	83189G111	83189G137	83189G129		SMTRM02	9/10/2018	0.01130	0.00781
SmartTrust*, Argus Modern Innovators Trust, Series2	35-7615565	83189G103	83189G111	83189G137	83189G129		SMTRM02	10/10/2018	0.01020	0.00705
SmartTrust*, Argus Modern Innovators Trust, Series2	35-7615565	83189G103	83189G111	83189G137	83189G129		SMTRM02	12/10/2018	0.01400	0.00967
SmartTrust*, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P111	83189P137	83189P129		SMTRPP06	11/10/2018	0.34540	0.32240
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 5	35-2621925	83189V100	83189V118	83189V134	83189V126		SMTRM005	6/10/2018	0.02750	0.00487
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 5	35-2621925	83189V100	83189V118	83189V134	83189V126		SMTRM005	7/10/2018	0.04080	0.00722
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 5	35-2621925	83189V100	83189V118	83189V134	83189V126		SMTRM005	8/10/2018	0.02030	0.00359
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 5	35-2621925	83189V100	83189V118	83189V134	83189V126		SMTRM005	9/10/2018	0.03120	0.00552
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 5	35-2621925	83189V100	83189V118	83189V134	83189V126		SMTRM005	10/10/2018	0.03590	0.00635
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 5	35-2621925	83189V100	83189V118	83189V134	83189V126		SMTRM005	11/10/2018	0.01660	0.00294
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 5	35-2621925	83189V100	83189V118	83189V134	83189V126		SMTRM005	12/10/2018	0.02770	0.00490
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 16	35-2621926	83200L107	83200L115	83200L131	83200L123		SMTRAR16	6/10/2018	0.01620	0.00983
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 16	35-2621926	83200L107	83200L115	83200L131	83200L123		SMTRAR16	7/10/2018	0.01220	0.00659
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 16	35-2621926	83200L107	83200L115	83200L131	83200L123		SMTRAR16	9/10/2018	0.02820	0.01523
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 16	35-2621926	83200L107	83200L115	83200L131	83200L123		SMTRAR16			

Form 8937 Appendix A  
December 31, 2018

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust*, Zacks GARP Composite 35 Trust, Series 17	35-2621930	832008109	832008117	832008133	832008125		STGARP17	7/10/2018	0.03050	0.01279
SmartTrust*, Zacks GARP Composite 35 Trust, Series 17	35-2621930	832008109	832008117	832008133	832008125		STGARP17	10/10/2018	0.03040	0.01191
SmartTrust*, Zacks GARP Composite 35 Trust, Series 17	35-2621930	832008109	832008117	832008133	832008125		STGARP17	12/10/2018	0.03210	0.00567
SmartTrust*, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H114	83200H130	83200H122		SMTRV20	6/10/2018	0.01910	0.00469
SmartTrust*, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H114	83200H130	83200H122		SMTRV20	7/10/2018	0.03070	0.00754
SmartTrust*, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H114	83200H130	83200H122		SMTRV20	8/10/2018	0.02800	0.00688
SmartTrust*, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H114	83200H130	83200H122		SMTRV20	9/10/2018	0.02960	0.00727
SmartTrust*, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H114	83200H130	83200H122		SMTRV20	10/10/2018	0.04980	0.01224
SmartTrust*, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H114	83200H130	83200H122		SMTRV20	11/10/2018	0.02820	0.00693
SmartTrust*, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H114	83200H130	83200H122		SMTRV20	12/10/2018	0.03460	0.00850
SmartTrust*, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H114	83200H130	83200H122		SMTRV20	12/31/2018	0.01840	0.00452
SmartTrust*, Technology Revolution Trust, Series 1	35-2622033	83201G107	83201G115	83201G131	83201G123		SMTRTR01	12/31/2018	0.00170	0.00170
SmartTrust*, Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A118	83201A134	83201A126		SMTRSI12	7/10/2018	0.01330	0.00242
SmartTrust*, Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A118	83201A134	83201A126		SMTRSI12	8/10/2018	0.01310	0.00238
SmartTrust*, Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A118	83201A134	83201A126		SMTRSI12	10/10/2018	0.02880	0.00523
SmartTrust*, Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A118	83201A134	83201A126		SMTRSI12	11/10/2018	0.01620	0.00294
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 17	35-2630888	83200V105	83200V113	83200V139	83200V121		SMTRAR17	9/10/2018	0.02140	0.00838
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 17	35-2630888	83200V105	83200V113	83200V139	83200V121		SMTRAR17	10/10/2018	0.01900	0.00744
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 17	35-2630888	83200V105	83200V113	83200V139	83200V121		SMTRAR17	12/10/2018	0.02430	0.00951
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 6	35-2630889	83191M106	83191M114	83191M130	83191M122		SMTRMD06	9/10/2018	0.04100	0.00975
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 6	35-2630889	83191M106	83191M114	83191M130	83191M122		SMTRMD06	10/10/2018	0.03110	0.00739
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 6	35-2630889	83191M106	83191M114	83191M130	83191M122		SMTRMD06	11/10/2018	0.01760	0.00418
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 6	35-2630889	83191M106	83191M114	83191M130	83191M122		SMTRMD06	12/10/2018	0.04410	0.01048
SmartTrust*, Dorsey Wright* International Momentum Trust, Series 12	35-2630890	83200X101	83200X119	83200X135	83200X127		SMTRDW12	10/10/2018	0.02300	0.02300
SmartTrust*, Miller/Howard North American Energy Opportunities Trust, Series 11	35-2630891	83201C106	83201C114	83201C130	83201C122		SMTRMH11	12/19/2018	0.02440	0.02440
SmartTrust*, Miller/Howard North American Energy Opportunities Trust, Series 11	35-2630891	83201C106	83201C114	83201C130	83201C122		SMTRMH11	12/31/2018	0.02130	0.02074
SmartTrust*, Defensive 50 Equities Trust, Series 16	35-2630892	83200Y109	83200Y117	83200Y133	83200Y125		STDFE16	9/10/2018	0.02320	0.01020
SmartTrust*, Defensive 50 Equities Trust, Series 16	35-2630892	83200Y109	83200Y117	83200Y133	83200Y125		STDFE16	10/10/2018	0.01720	0.00790
SmartTrust*, Defensive 50 Equities Trust, Series 16	35-2630892	83200Y109	83200Y117	83200Y133	83200Y125		STDFE16	12/10/2018	0.03260	0.01497
SmartTrust*, Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W111	83200W137	83200W129		SMTRPP07	9/10/2018	0.03670	0.00892
SmartTrust*, Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W111	83200W137	83200W129		SMTRPP07	10/10/2018	0.06600	0.01604
SmartTrust*, Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W111	83200W137	83200W129		SMTRPP07	11/10/2018	0.02230	0.00542
SmartTrust*, Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W111	83200W137	83200W129		SMTRPP07	12/10/2018	0.03590	0.00873
SmartTrust*, Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W111	83200W137	83200W129		SMTRPP07	12/31/2018	0.07300	0.01775
SmartTrust*, Argus Modern Innovators Trust, Series 3	35-2633802	83201P107	83201P115	83201P131	83201P123		SMTRMI03	12/19/2018	0.00720	0.00363
SmartTrust*, Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M112	83201M138	83201M120		SMTRMD07	12/19/2018	0.01310	0.00622
SmartTrust*, Argus Dividend Growers Total Return Trust, Series 18	35-2636436	83201Y108	83201Y116	83201Y132	83201Y124		SMTRAR18	12/19/2018	0.00670	0.00419
SmartTrust*, Dorsey Wright* International Momentum Trust, Series 13	35-2636438	83202H104	83202H112	83202H138	83202H120		SMTRDW13	12/31/2018	0.00930	0.00930
SmartTrust*, Miller/Howard North American Energy Opportunities Trust, Series 12	35-2639970	83202I100	83202I118	83202I134	83202I126		SMTRMH12	12/19/2018	0.02190	0.02190
SmartTrust*, Miller/Howard North American Energy Opportunities Trust, Series 12	35-2639970	83202I100	83202I118	83202I134	83202I126		SMTRMH12	12/31/2018	0.00720	0.00720
SmartTrust*, Defensive 50 Equities Trust, Series 17	35-2639971	83201R103	83201R111	83201R137	83201R129		STDFE17	12/10/2018	0.02080	0.00310
SmartTrust*, Defensive 50 Equities Trust, Series 17	35-2639971	83201R103	83201R111	83201R137	83201R129		STDFE17	12/19/2018	0.00310	0.00232
SmartTrust*, Defensive 50 Equities Trust, Series 17	35-2639971	83201R103	83201R111	83201R137	83201R129		STDFE17	12/31/2018	0.01800	0.00268
SmartTrust*, Zacks GARP Composite 35 Trust, Series 19	35-2639972	83201V104	83201V112	83201V138	83201V120		STGARP19	12/31/2018	0.02790	0.00884
SmartTrust*, Technology Revolution Trust, Series 2	35-2642526	83201X100	83201X126	83201X142	83201X134		SMTRTR02	12/31/2018	0.00540	0.00540
SmartTrust*, SMID Strength Trust, Series 1	35-2642528	83203A108	83203A116	83203A132	83203A124		STSMID01	12/31/2018	0.02820	0.00018
SmartTrust*, Sustainable Impact Investing Trust, Series 13	35-2642529	83202M103	83202M111	83202M137	83202M129		SMTRSI13	12/31/2018	0.01400	0.00037
SmartTrust*, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N119	83202N135	83202N127		SMTRPP08	12/31/2018	0.05910	0.00383
SmartTrust*, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B115	83202B131	83202B123		SMTRV22	12/31/2018	0.03600	0.01405

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