



BNY MELLON

2 Hanson Place
12th Floor
Brooklyn, NY 11217

The Bank of New York Mellon, in its capacity as Trustee, has prepared the information posted by the Sponsor herein in accordance with its duties, obligations and standard of care as set forth in the Trust Agreement.

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶

I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ▶ THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶

THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2019.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.


Sign Here

Signature ▶ 

Date ▶ 2/17/2020

Print your name ▶ Baruch J. Cohen

Title ▶ CPA

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input checked="" type="checkbox"/> if self-employed	PTIN
	Baruch J. Cohen		<u>2/17/2020</u>		P01269048
	Firm's name ▶ Deloitte Tax, LLP	Firm's address ▶ 30 ROCKEFELLER PLAZA NEW YORK, NY 10112-0015		Firm's EIN ▶ 86-1065772	Phone no. 212-492-3600

Form 8937 Appendix A
December 31, 2019

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate Per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust, Rising Interest Rates Hedge Trust, Series 8	35-2579897	83186100	83186126	83186134	83186118		STRIRH08	1/10/2019	0.07140	0.00530
SmartTrust, Preferred Plus Trust, Series 3	35-2583875	831867108	831867124	831867132	831867116		SMTRPP03	1/10/2019	0.05980	0.03131
SmartTrust, Preferred Plus Trust, Series 3	35-2583875	831867108	831867124	831867132	831867116		SMTRPP03	2/10/2019	0.02160	0.01131
SmartTrust, Preferred Plus Trust, Series 4	35-2596561	831871103	831871129	831871137	831871111		SMTRPP04	1/10/2019	0.05580	0.01392
SmartTrust, Preferred Plus Trust, Series 4	35-2596561	831871103	831871129	831871137	831871111		SMTRPP04	2/10/2019	0.02060	0.00514
SmartTrust, Preferred Plus Trust, Series 4	35-2596561	831871103	831871129	831871137	831871111		SMTRPP04	3/10/2019	0.04040	0.01008
SmartTrust, Preferred Plus Trust, Series 4	35-2596561	831871103	831871129	831871137	831871111		SMTRPP04	4/10/2019	0.05810	0.01450
SmartTrust, Preferred Plus Trust, Series 4	35-2596561	831871103	831871129	831871137	831871111		SMTRPP04	5/10/2019	0.02440	0.00609
SmartTrust, Preferred Plus Trust, Series 4	35-2596561	831871103	831871129	831871137	831871111		SMTRPP04	6/10/2019	0.03900	0.00973
SmartTrust, Healthcare Innovations Trust, Series4	35-2606602	83188M101	83188M127	83188M135	83188M119		SMTRHC04	3/10/2019	0.99900	0.99900
SmartTrust, Healthcare Innovations Trust, Series4	35-2606602	83188M101	83188M127	83188M135	83188M119		SMTRHC04	8/10/2019	1.47850	1.47850
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	1/10/2019	0.06600	0.02087
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	2/10/2019	0.02030	0.00642
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	3/10/2019	0.03220	0.01018
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	4/10/2019	0.06490	0.02052
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	5/10/2019	0.02500	0.00791
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	6/10/2019	0.02620	0.00828
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	7/10/2019	0.06480	0.02049
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	8/10/2019	0.01930	0.00610
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	9/10/2019	0.03100	0.00980
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	10/10/2019	0.06810	0.02153
SmartTrust, Preferred Plus Trust, Series 5	35-2606606	83189B104	83189B120	83189B138	83189B112		SMTRPP05	10/10/2019	0.28320	0.28320
SmartTrust, Argus Dividend Growers Total Return Trust, Series 15	35-2609381	83189H101	83189H127	83189H135	83189H119		SMTRRA15	1/10/2019	0.02630	0.02506
SmartTrust, Miller/Howard North American Energy Opportunities Trust, Series 9	35-7195184	83189T105	83189T121	83189T139	83189T113		SMTRMH09	3/10/2019	0.03360	0.03360
SmartTrust, Argus Modern Innovators Trust, Series2	35-2615565	83189G103	83189G129	83189G137	83189G111		SMTRM02	2/10/2019	0.01520	0.00726
SmartTrust, Argus Modern Innovators Trust, Series2	35-2615565	83189G103	83189G129	83189G137	83189G111		SMTRM02	4/10/2019	0.01850	0.00883
SmartTrust, Argus Modern Innovators Trust, Series2	35-2615565	83189G103	83189G129	83189G137	83189G111		SMTRM02	6/10/2019	0.01410	0.00673
SmartTrust, Argus Modern Innovators Trust, Series2	35-2615565	83189G103	83189G129	83189G137	83189G111		SMTRM02	7/10/2019	0.01100	0.00525
SmartTrust, Argus Modern Innovators Trust, Series2	35-2615565	83189G103	83189G129	83189G137	83189G111		SMTRM02	9/10/2019	0.01620	0.00774
SmartTrust, Argus Modern Innovators Trust, Series2	35-2615565	83189G103	83189G129	83189G137	83189G111		SMTRM02	11/10/2019	0.01490	0.00711
SmartTrust, E-Commerce Ecosystem Trust, Series 2	35-2615566	83200D105	83200D121	83200D139	83200D113		SMTREC02	3/10/2019	0.01340	0.01211
SmartTrust, E-Commerce Ecosystem Trust, Series 2	35-2615566	83200D105	83200D121	83200D139	83200D113		SMTREC02	4/10/2019	0.01220	0.01103
SmartTrust, E-Commerce Ecosystem Trust, Series 2	35-2615566	83200D105	83200D121	83200D139	83200D113		SMTREC02	4/10/2019	0.36060	0.36060
SmartTrust, E-Commerce Ecosystem Trust, Series 2	35-2615566	83200D105	83200D121	83200D139	83200D113		SMTREC02	6/10/2019	0.01140	0.01030
SmartTrust, E-Commerce Ecosystem Trust, Series 2	35-2615566	83200D105	83200D121	83200D139	83200D113		SMTREC02	8/10/2019	0.01180	0.01066
SmartTrust, E-Commerce Ecosystem Trust, Series 2	35-2615566	83200D105	83200D121	83200D139	83200D113		SMTREC02	10/10/2019	0.01570	0.01419
SmartTrust, E-Commerce Ecosystem Trust, Series 2	35-2615566	83200D105	83200D121	83200D139	83200D113		SMTREC02	10/10/2019	0.21170	0.21170
SmartTrust, E-Commerce Ecosystem Trust, Series 2	35-2615566	83200D105	83200D121	83200D139	83200D113		SMTREC02	10/10/2019	0.01150	0.01039
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	1/10/2019	0.02980	0.00750
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	2/10/2019	0.03500	0.00881
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	3/10/2019	0.05130	0.01291
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	4/10/2019	0.04590	0.01155
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	5/10/2019	0.05230	0.01316
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	6/10/2019	0.06560	0.01651
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	7/10/2019	0.05300	0.01334
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	8/10/2019	0.03040	0.00765
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	9/10/2019	0.05930	0.01493
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	10/10/2019	0.05890	0.01483
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	11/10/2019	0.03070	0.00773
SmartTrust, Dynamic Sector Income Trust, Series 20	35-2615569	83200F100	83200F126	83200F134	83200F118		SMTRDS20	12/10/2019	0.05620	0.01465
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	1/10/2019	0.06930	0.02116
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	2/10/2019	0.02100	0.00369
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	3/10/2019	0.03060	0.00537
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	4/10/2019	0.06800	0.01193
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	5/10/2019	0.02630	0.00462
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	6/10/2019	0.02480	0.00435
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	7/10/2019	0.06830	0.01199
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	8/10/2019	0.02060	0.00362
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	9/10/2019	0.03000	0.00527
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	10/10/2019	0.07340	0.01288
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	10/10/2019	0.34670	0.29906
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	11/10/2019	0.02070	0.00363
SmartTrust, Preferred Plus Trust, Series 6	35-2615647	83189P103	83189P129	83189P137	83189P111		SMTRPP06	12/10/2019	0.02680	0.00470
SmartTrust, Dorsey Wright International Momentum Trust, Series 11	35-2621927	83189Y104	83189Y120	83189Y138	83189Y112		SMTRDW11	2/10/2019	0.02370	0.01099
SmartTrust, Dorsey Wright International Momentum Trust, Series 11	35-2621927	83189Y104	83189Y120	83189Y138	83189Y112		SMTRDW11	4/10/2019	0.01770	0.00821
SmartTrust, Dorsey Wright International Momentum Trust, Series 11	35-2621927	83189Y104	83189Y120	83189Y138	83189Y112		SMTRDW11	5/10/2019	0.04570	0.02119
SmartTrust, Dorsey Wright International Momentum Trust, Series 11	35-2621927	83189Y104	83189Y120	83189Y138	83189Y112		SMTRDW11	6/10/2019	0.03250	0.01507
SmartTrust, Miller/Howard North American Energy Opportunities Trust, Series 10	35-2621928	83200J102	83200J128	83200J136	83200J110		SMTRMH10	1/10/2019	0.01220	0.01220
SmartTrust, Miller/Howard North American Energy Opportunities Trust, Series 10	35-2621928	83200J102	83200J128	83200J136	83200J110		SMTRMH10	3/10/2019	0.04570	0.04570
SmartTrust, Miller/Howard North American Energy Opportunities Trust, Series 10	35-2621928	83200J102	83200J128	83200J136	83200J110		SMTRMH10	4/10/2019	0.01330	0.01330
SmartTrust, Miller/Howard North American Energy Opportunities Trust, Series 10	35-2621928	83200J102	83200J128	83200J136	83200J110		SMTRMH10	6/10/2019	0.04620	0.04620
SmartTrust, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H122	83200H130	83200H114		SMTRVZ20	1/10/2019	0.02160	0.00458
SmartTrust, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H122	83200H130	83200H114		SMTRVZ20	2/10/2019	0.02860	0.00606
SmartTrust, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H122	83200H130	83200H114		SMTRVZ20	3/10/2019	0.03160	0.00670
SmartTrust, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H122	83200H130	83200H114		SMTRVZ20	4/10/2019	0.04260	0.00903
SmartTrust, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H122	83200H130	83200H114		SMTRVZ20	5/10/2019	0.06130	0.01300
SmartTrust, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H122	83200H130	83200H114		SMTRVZ20	6/10/2019	0.03580	0.00759
SmartTrust, Enhanced Value II Trust										

Form 8937 Appendix A
December 31, 2019

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	1/10/2019	0.02810	0.00880
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	2/10/2019	0.01790	0.00561
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	4/10/2019	0.03080	0.00965
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	5/10/2019	0.01750	0.00548
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	7/10/2019	0.03120	0.00977
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	8/10/2019	0.01810	0.00567
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	9/10/2019	0.01260	0.00395
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	10/10/2019	0.01870	0.00586
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	11/10/2019	0.01860	0.00583
SmartTrust SM , Sustainable Impact Investing Trust, Series 12	35-2622034	83201A100	83201A126	83201A134	83201A118		SMTRSI2	12/10/2019	0.01050	0.00329
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 12	35-2630890	83200X101	83200X127	83200X135	83200X119		SMTRDW12	4/10/2019	0.02100	0.00928
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 12	35-2630890	83200X101	83200X127	83200X135	83200X119		SMTRDW12	5/10/2019	0.04120	0.01820
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 12	35-2630890	83200X101	83200X127	83200X135	83200X119		SMTRDW12	6/10/2019	0.05090	0.02248
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 12	35-2630890	83200X101	83200X127	83200X135	83200X119		SMTRDW12	7/10/2019	0.01430	0.00632
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 12	35-2630890	83200X101	83200X127	83200X135	83200X119		SMTRDW12	8/10/2019	0.03200	0.01413
SmartTrust SM , Miller/Howard North American Energy Opportunities Trust, Series 11	35-2630891	83201C106	83201C122	83201C130	83201C114		SMTRMH11	6/10/2019	0.01170	0.01170
SmartTrust SM , Miller/Howard North American Energy Opportunities Trust, Series 11	35-2630891	83201C106	83201C122	83201C130	83201C114		SMTRMH11	9/10/2019	0.03520	0.03520
SmartTrust SM , Miller/Howard North American Energy Opportunities Trust, Series 11	35-2630891	83201C106	83201C122	83201C130	83201C114		SMTRMH11	9/10/2019	0.25650	0.25650
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	2/10/2019	0.01710	0.00044
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	3/10/2019	0.04170	0.01007
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	4/10/2019	0.06470	0.01666
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	5/10/2019	0.02810	0.00072
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	6/10/2019	0.03730	0.00096
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	7/10/2019	0.06490	0.01667
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	8/10/2019	0.02390	0.00061
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	9/10/2019	0.04110	0.01006
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	10/10/2019	0.06810	0.01075
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	10/10/2019	0.14970	0.13049
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	11/10/2019	0.02390	0.00061
SmartTrust SM , Preferreds Plus Trust, Series 7	35-2630895	83200W103	83200W129	83200W137	83200W111		SMTRPP07	12/10/2019	0.03740	0.00096
SmartTrust SM , E-Commerce Ecosystem Trust, Series 3	35-2633801	83201J101	83201J127	83201J135	83201J119		SMTRREC3	10/10/2019	0.01210	0.01210
SmartTrust SM , E-Commerce Ecosystem Trust, Series 3	35-2633801	83201J101	83201J127	83201J135	83201J119		SMTRREC3	10/10/2019	0.23980	0.23980
SmartTrust SM , Argus Modern Innovators Trust, Series 3	35-2633802	83201P107	83201P123	83201P131	83201P115		SMTRM03	3/10/2019	0.01310	0.00968
SmartTrust SM , Argus Modern Innovators Trust, Series 3	35-2633802	83201P107	83201P123	83201P131	83201P115		SMTRM03	4/10/2019	0.01190	0.00880
SmartTrust SM , Argus Modern Innovators Trust, Series 3	35-2633802	83201P107	83201P123	83201P131	83201P115		SMTRM03	6/10/2019	0.01370	0.01013
SmartTrust SM , Argus Modern Innovators Trust, Series 3	35-2633802	83201P107	83201P123	83201P131	83201P115		SMTRM03	8/10/2019	0.01330	0.00983
SmartTrust SM , Argus Modern Innovators Trust, Series 3	35-2633802	83201P107	83201P123	83201P131	83201P115		SMTRM03	10/10/2019	0.01580	0.01188
SmartTrust SM , Argus Modern Innovators Trust, Series 3	35-2633802	83201P107	83201P123	83201P131	83201P115		SMTRM03	12/10/2019	0.01300	0.00961
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	2/10/2019	0.02690	0.00815
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	3/10/2019	0.02580	0.00782
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	4/10/2019	0.04430	0.01343
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	5/10/2019	0.02110	0.00640
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	6/10/2019	0.03380	0.01024
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	7/10/2019	0.03240	0.00982
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	8/10/2019	0.02200	0.00667
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	9/10/2019	0.03530	0.01070
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	10/10/2019	0.03290	0.00997
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 7	35-2636435	83201M104	83201M120	83201M138	83201M112		SMTRMD07	11/10/2019	0.01800	0.00546
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 18	35-2636436	83201Y108	83201Y124	83201Y132	83201Y116		SMTRAR18	3/10/2019	0.02380	0.01400
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 18	35-2636436	83201Y108	83201Y124	83201Y132	83201Y116		SMTRAR18	4/10/2019	0.02190	0.01288
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 18	35-2636436	83201Y108	83201Y124	83201Y132	83201Y116		SMTRAR18	6/10/2019	0.02520	0.01483
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 18	35-2636436	83201Y108	83201Y124	83201Y132	83201Y116		SMTRAR18	7/10/2019	0.02350	0.01323
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 18	35-2636436	83201Y108	83201Y124	83201Y132	83201Y116		SMTRAR18	9/10/2019	0.02460	0.01447
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 18	35-2636436	83201Y108	83201Y124	83201Y132	83201Y116		SMTRAR18	10/10/2019	0.02270	0.01335
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	1/10/2019	0.03760	0.00316
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	2/10/2019	0.04830	0.00460
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	3/10/2019	0.05370	0.00452
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	4/10/2019	0.05830	0.00490
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	5/10/2019	0.04980	0.00419
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	6/10/2019	0.06210	0.00522
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	7/10/2019	0.05800	0.00488
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	8/10/2019	0.04750	0.00399
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	9/10/2019	0.06460	0.00543
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	10/10/2019	0.05790	0.00487
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	11/10/2019	0.01110	0.01110
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	11/10/2019	0.04960	0.00417
SmartTrust SM , Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T125	83201T133	83201T117		SMTRSG16	12/10/2019	0.06220	0.00523
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 13	35-2636438	83202H104	83202H120	83202H138	83202H112		SMTRDW13	5/10/2019	0.01570	0.00051
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 13	35-2636438	83202H104	83202H120	83202H138	83202H112		SMTRDW13	6/10/2019	0.04880	0.00159
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 13	35-2636438	83202H104	83202H120	83202H138	83202H112		SMTRDW13	8/10/2019	0.04000	0.00130
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 13	35-2636438	83202H104	83202H120	83202H138	83202H112		SMTRDW13	10/10/2019	0.02190	0.00071
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 13	35-2636438	83202H104	83202H120	83202H138	83202H112		SMTRDW13	12/10/2019	0.01810	0.00059
SmartTrust SM , Dorsey Wright SM International Momentum Trust, Series 13	35-2636438	83202H104	83202H120	83202H138	83202H112		SMTRDW13	12/31/2019	0.00940	0.0

Form 8937 Appendix A
December 31, 2019

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate Per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust*, SMID Strength Trust, Series 1	35-2642528	83203A108	83203A124	83203A132	83203A116		STSMID01	1/10/2019	0.33140	0.33140
SmartTrust*, Sustainable Impact Investing Trust, Series 13	35-2642529	83202M103	83202M129	83202M137	83202M111		SMTRSL13	3/10/2019	0.01130	0.00333
SmartTrust*, Sustainable Impact Investing Trust, Series 13	35-2642529	83202M103	83202M129	83202M137	83202M111		SMTRSL13	4/10/2019	0.02300	0.00678
SmartTrust*, Sustainable Impact Investing Trust, Series 13	35-2642529	83202M103	83202M129	83202M137	83202M111		SMTRSL13	5/10/2019	0.01260	0.00371
SmartTrust*, Sustainable Impact Investing Trust, Series 13	35-2642529	83202M103	83202M129	83202M137	83202M111		SMTRSL13	7/10/2019	0.02690	0.00793
SmartTrust*, Sustainable Impact Investing Trust, Series 13	35-2642529	83202M103	83202M129	83202M137	83202M111		SMTRSL13	8/10/2019	0.01400	0.00413
SmartTrust*, Sustainable Impact Investing Trust, Series 13	35-2642529	83202M103	83202M129	83202M137	83202M111		SMTRSL13	9/10/2019	0.01090	0.00321
SmartTrust*, Sustainable Impact Investing Trust, Series 13	35-2642529	83202M103	83202M129	83202M137	83202M111		SMTRSL13	10/10/2019	0.01860	0.00548
SmartTrust*, Sustainable Impact Investing Trust, Series 13	35-2642529	83202M103	83202M129	83202M137	83202M111		SMTRSL13	11/10/2019	0.01420	0.00418
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	3/10/2019	0.03240	0.00030
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	4/10/2019	0.05430	0.00051
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	5/10/2019	0.04280	0.00040
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	6/10/2019	0.02350	0.00022
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	7/10/2019	0.07090	0.00067
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	8/10/2019	0.03620	0.00034
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	9/10/2019	0.02880	0.00027
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	10/10/2019	0.06990	0.00066
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	11/10/2019	0.03640	0.00034
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	12/10/2019	0.02850	0.00027
SmartTrust, Preferred Plus Trust, Series 8	35-2642548	83202N101	83202N127	83202N135	83202N119		SMTRPP08	12/31/2019	0.01690	0.00016
SmartTrust, Argus Dividend Growers Total Return Trust, Series 19	35-2646072	83202T108	83202T124	83202T132	83202T116		SMTRAR19	3/10/2019	0.03970	0.01060
SmartTrust, Argus Dividend Growers Total Return Trust, Series 19	35-2646072	83202T108	83202T124	83202T132	83202T116		SMTRAR19	4/10/2019	0.02080	0.00597
SmartTrust, Argus Dividend Growers Total Return Trust, Series 19	35-2646072	83202T108	83202T124	83202T132	83202T116		SMTRAR19	5/10/2019	0.01040	0.00484
SmartTrust, Argus Dividend Growers Total Return Trust, Series 19	35-2646072	83202T108	83202T124	83202T132	83202T116		SMTRAR19	6/10/2019	0.01950	0.00507
SmartTrust, Argus Dividend Growers Total Return Trust, Series 19	35-2646072	83202T108	83202T124	83202T132	83202T116		SMTRAR19	7/10/2019	0.02190	0.01018
SmartTrust, Argus Dividend Growers Total Return Trust, Series 19	35-2646072	83202T108	83202T124	83202T132	83202T116		SMTRAR19	8/10/2019	0.01560	0.00725
SmartTrust, Argus Dividend Growers Total Return Trust, Series 19	35-2646072	83202T108	83202T124	83202T132	83202T116		SMTRAR19	9/10/2019	0.02240	0.01042
SmartTrust, Argus Dividend Growers Total Return Trust, Series 19	35-2646072	83202T108	83202T124	83202T132	83202T116		SMTRAR19	10/10/2019	0.02210	0.01028
SmartTrust, Argus Dividend Growers Total Return Trust, Series 19	35-2646072	83202T108	83202T124	83202T132	83202T116		SMTRAR19	12/10/2019	0.03440	0.01600
SmartTrust, Dorsy Wright* International Momentum Trust, Series 14	35-2646073	83202Q104	83202Q120	83202Q138	83202Q112		SMTRDW14	4/10/2019	0.01430	0.00269
SmartTrust, Dorsy Wright* International Momentum Trust, Series 14	35-2646073	83202Q104	83202Q120	83202Q138	83202Q112		SMTRDW14	5/10/2019	0.02130	0.00401
SmartTrust, Dorsy Wright* International Momentum Trust, Series 14	35-2646073	83202Q104	83202Q120	83202Q138	83202Q112		SMTRDW14	6/10/2019	0.05810	0.01093
SmartTrust, Dorsy Wright* International Momentum Trust, Series 14	35-2646073	83202Q104	83202Q120	83202Q138	83202Q112		SMTRDW14	7/10/2019	0.02750	0.00517
SmartTrust, Dorsy Wright* International Momentum Trust, Series 14	35-2646073	83202Q104	83202Q120	83202Q138	83202Q112		SMTRDW14	8/10/2019	0.01560	0.00293
SmartTrust, Dorsy Wright* International Momentum Trust, Series 14	35-2646073	83202Q104	83202Q120	83202Q138	83202Q112		SMTRDW14	10/10/2019	0.04020	0.00756
SmartTrust, Dorsy Wright* International Momentum Trust, Series 14	35-2646073	83202Q104	83202Q120	83202Q138	83202Q112		SMTRDW14	11/10/2019	0.03110	0.00585
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	3/10/2019	0.01640	0.00516
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	4/10/2019	0.02290	0.00720
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	5/10/2019	0.01180	0.00371
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	6/10/2019	0.02410	0.00758
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	7/10/2019	0.02740	0.00861
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	8/10/2019	0.01110	0.00349
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	9/10/2019	0.02400	0.00755
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	10/10/2019	0.02770	0.00871
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	11/10/2019	0.01120	0.00352
SmartTrust, Defensive 50 Equities Trust, Series 18	35-2646075	83202L105	83202L121	83202L139	83202L113		STDFE518	12/10/2019	0.02320	0.00729
SmartTrust, Argus Modern Innovators Trust, Series 4	83-3117148	83203C104	83203C120	83203C138	83203C112		SMTRM04	4/10/2019	0.01030	0.00699
SmartTrust, Argus Modern Innovators Trust, Series 4	83-3117148	83203C104	83203C120	83203C138	83203C112		SMTRM04	6/10/2019	0.01470	0.00998
SmartTrust, Argus Modern Innovators Trust, Series 4	83-3117148	83203C104	83203C120	83203C138	83203C112		SMTRM04	8/10/2019	0.01180	0.00801
SmartTrust, Argus Modern Innovators Trust, Series 4	83-3117148	83203C104	83203C120	83203C138	83203C112		SMTRM04	9/10/2019	0.01180	0.00801
SmartTrust, Argus Modern Innovators Trust, Series 4	83-3117148	83203C104	83203C120	83203C138	83203C112		SMTRM04	11/10/2019	0.01120	0.00760
SmartTrust, Argus Modern Innovators Trust, Series 4	83-3117148	83203C104	83203C120	83203C138	83203C112		SMTRM04	12/10/2019	0.01130	0.00767
SmartTrust, E-Commerce Ecosystem Trust, Series 4	83-3117412	83202P106	83202P122	83202P130	83202P114		SMTRC04	7/10/2019	0.01320	0.01320
SmartTrust, E-Commerce Ecosystem Trust, Series 4	83-3117412	83202P106	83202P122	83202P130	83202P114		SMTRC04	10/10/2019	0.01190	0.01190
SmartTrust, E-Commerce Ecosystem Trust, Series 4	83-3117412	83202P106	83202P122	83202P130	83202P114		SMTRC04	10/10/2019	0.12180	0.12180
SmartTrust, SMID Strength Trust, Series 2	83-3117712	83203B106	83203B122	83203B130	83203B114		STSMID02	12/31/2019	0.00630	0.00600
SmartTrust, Preferred Plus Trust, Series 9	35-2648360	83203R101	83203R127	83203R135	83203R119		SMTRPP09	5/10/2019	0.03040	0.00190
SmartTrust, Preferred Plus Trust, Series 9	35-2648360	83203R101	83203R127	83203R135	83203R119		SMTRPP09	6/10/2019	0.01470	0.00092
SmartTrust, Preferred Plus Trust, Series 9	35-2648360	83203R101	83203R127	83203R135	83203R119		SMTRPP09	7/10/2019	0.07470	0.00467
SmartTrust, Preferred Plus Trust, Series 9	35-2648360	83203R101	83203R127	83203R135	83203R119		SMTRPP09	8/10/2019	0.03050	0.00191
SmartTrust, Preferred Plus Trust, Series 9	35-2648360	83203R101	83203R127	83203R135	83203R119		SMTRPP09	9/10/2019	0.01950	0.00122
SmartTrust, Preferred Plus Trust, Series 9	35-2648360	83203R101	83203R127	83203R135	83203R119		SMTRPP09	10/10/2019	0.07510	0.00469
SmartTrust, Preferred Plus Trust, Series 9	35-2648360	83203R101	83203R127	83203R135	83203R119		SMTRPP09	11/10/2019	0.03540	0.00221
SmartTrust, Preferred Plus Trust, Series 9	35-2648360	83203R101	83203R127	83203R135	83203R119		SMTRPP09	12/10/2019	0.01880	0.00118
SmartTrust, Preferred Plus Trust, Series 9	35-2648360	83203R101	83203R127	83203R135	83203R119		SMTRPP09	12/31/2019	0.03790	0.00217
SmartTrust, Argus Dividend Growers Total Return Trust, Series 20	35-2648386	83203H103	83203H129	83203H137	83203H111		SMTRAR20	6/10/2019	0.01340	0.00827
SmartTrust, Argus Dividend Growers Total Return Trust, Series 20	35-2648386	83203H103	83203H129	83203H137	83203H111		SMTRAR20	7/10/2019	0.01540	0.00951
SmartTrust, Argus Dividend Growers Total Return Trust, Series 20	35-2648386	83203H103	83203H129	83203H137	83203H111		SMTRAR20	9/10/2019	0.02450	0.01513
SmartTrust, Argus Dividend Growers Total Return Trust, Series 20	35-2648386	83203H103	83203H129	83203H137	83203H111		SMTRAR20	10/10/2019	0.01780	0.01099
SmartTrust, Argus Dividend Growers Total Return Trust, Series 20	35-2648386	83203H103	83203H129	83203H137	83203H111		SMTRAR20	12/10/2019	0.02700	0.01667
SmartTrust, Dorsy Wright* International Momentum Trust, Series 15	35-2648387	83202W101	83202W127	83202W135	83202W119		SMTRDW15	5/10/2019	0.01460	0.00275
SmartTrust, Dorsy Wright* International Momentum Trust, Series 15	35-2648387	83202W101	83202W127	83202W135	83202W119		SMTRDW15	6/10/2019	0.04050	0.00762
SmartTrust, Dorsy Wright* International Momentum Trust, Series 15	35-2648387	83202W101	83202W127	83202W135	83202W119		SMTRDW15	7/10/2019	0.01440	0.00271
SmartTrust, Dorsy Wright* International Momentum Trust, Series 15	35-2648387	83202W101	83202W127	83202W135	83202W119		SMTRDW15	9/10/2019	0.02340	0.00440
SmartTrust, Dorsy Wright* International Momentum Trust, Series 15	35-2648387	83202W101	83202W127	83202W135	83202W119		SMTRDW15	10/10/2019	0.03390	0.00638
SmartTrust, Defensive 50 Equities Trust, Series 19	35-2648391	83203D102	83203D128	83203D136	83203D110		STDFE519	6/10/2019	0.01930	0.00577

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust SM , 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W126	83203W134	83203W118		SMTRSF01	10/10/2019	0.01140	0.00016
SmartTrust SM , 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W126	83203W134	83203W118		SMTRSF01	11/10/2019	0.01140	0.00016
SmartTrust SM , 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W126	83203W134	83203W118		SMTRSF01	12/10/2019	0.03070	0.00042
SmartTrust SM , 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W126	83203W134	83203W118		SMTRSF01	12/31/2019	0.00540	0.00007
SmartTrust SM , Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921127	831921135	831921119		SMTRDS24	8/10/2019	0.01710	0.00212
SmartTrust SM , Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921127	831921135	831921119		SMTRDS24	9/10/2019	0.03270	0.00406
SmartTrust SM , Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921127	831921135	831921119		SMTRDS24	10/10/2019	0.04090	0.00508
SmartTrust SM , Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921127	831921135	831921119		SMTRDS24	11/10/2019	0.01930	0.00240
SmartTrust SM , Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921127	831921135	831921119		SMTRDS24	12/10/2019	0.03300	0.00410
SmartTrust SM , Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921127	831921135	831921119		SMTRDS24	12/31/2019	0.01760	0.00219
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 10	35-2664121	831928109	831928125	831928133	831928117		SMTRMD10	9/10/2019	0.04520	0.01068
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 10	35-2664121	831928109	831928125	831928133	831928117		SMTRMD10	10/10/2019	0.03250	0.00768
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 10	35-2664121	831928109	831928125	831928133	831928117		SMTRMD10	11/10/2019	0.01240	0.00293
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 10	35-2664121	831928109	831928125	831928133	831928117		SMTRMD10	12/10/2019	0.04590	0.01084
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 21	35-2664122	83203X108	83203X124	83203X132	83203X116		SMTRAR21	9/10/2019	0.01380	0.00900
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 21	35-2664122	83203X108	83203X124	83203X132	83203X116		SMTRAR21	10/10/2019	0.01440	0.00939
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 21	35-2664122	83203X108	83203X124	83203X132	83203X116		SMTRAR21	12/10/2019	0.02370	0.01545
SmartTrust SM , Dorsey Wright SM International MomentumTrust, Series 16	35-2664124	83204M101	83204M127	83204M135	83204M119		SMTRDW16	10/10/2019	0.02470	0.00673
SmartTrust SM , Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F122	83204F130	83204F114		STDEFE20	9/10/2019	0.01820	0.00486
SmartTrust SM , Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F122	83204F130	83204F114		STDEFE20	10/10/2019	0.02410	0.00643
SmartTrust SM , Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F122	83204F130	83204F114		STDEFE20	12/10/2019	0.03170	0.00846
SmartTrust SM , Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G120	83204G138	83204G112		SMTRPP10	9/10/2019	0.02560	0.00529
SmartTrust SM , Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G120	83204G138	83204G112		SMTRPP10	10/10/2019	0.06000	0.01241
SmartTrust SM , Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G120	83204G138	83204G112		SMTRPP10	11/10/2019	0.03190	0.00660
SmartTrust SM , Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G120	83204G138	83204G112		SMTRPP10	12/10/2019	0.02480	0.00513
SmartTrust SM , Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G120	83204G138	83204G112		SMTRPP10	12/31/2019	0.06850	0.01417
SmartTrust SM , Argus Modern Innovators Trust, Series 5	35-2667097	83204H102	83204H128	83204H136	83204H110		SMTRM05	12/18/2019	0.01010	0.00240
SmartTrust SM , E-Commerce Ecosystem Trust, Series 5	35-2667098	83204K105	83204K121	83204K139	83204K113		SMTRREC5	12/18/2019	0.01300	0.00943
SmartTrust SM , SMID Strength Trust, Series 4	35-2667102	83204W109	83204W125	83204W133	83204W117		STSMID04	12/31/2019	0.00120	0.00120
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G124	83192G132	83192G116		SMTRMD11	12/10/2019	0.05240	0.00086
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G124	83192G132	83192G116		SMTRMD11	12/18/2019	0.01360	0.01360
SmartTrust SM , Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G124	83192G132	83192G116		SMTRMD11	12/31/2019	0.01240	0.00020
SmartTrust SM , Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X123	83204X131	83204X115		SMTRAR22	12/18/2019	0.01010	0.00306
SmartTrust SM , Dorsey Wright SM International MomentumTrust, Series 17	35-2669589	83192F100	83192F126	83192F134	83192F118		SMTRDW17	12/31/2019	0.01510	0.00376
SmartTrust SM , Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C128	83205C136	83205C110		STDEFE21	12/18/2019	0.01010	0.00788
SmartTrust SM , Technology Revolution Trust, Series 4	35-2671517	831704382	831704408	831704416	831704390		SMTRR04	12/31/2019	0.00190	0.00190
SmartTrust SM , Sustainable Impact Investing Trust, Series 15	35-2671519	83205M100	83205M126	83205M134	83205M118		SMTRSI15	12/31/2019	0.01160	0.00045
SmartTrust SM , SMID Strength Trust, Series 5	35-2671520	83205V100	83205V126	83205V134	83205V118		STSMID05	12/31/2019	0.01360	0.00728
SmartTrust SM , Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F121	83205F139	83205F113		SMTRPP11	12/31/2019	0.05020	0.01642
SmartTrust SM , Enhanced Value II Trust, Series 25	35-2674506	831704424	831704440	831704457	831704432		SMTRREV25	12/31/2019	0.03320	0.00465

Any tax advice included in this written communication was not intended or written to be used, and it cannot be used by the taxpayer, for the purpose of avoiding any penalties that may be imposed by any governmental taxing authority or agency.