240 Greenwich St, 22W
New York, NY 10286

The Bank of New York Mellon, in its capacity as Trustee, has prepared the information posted by the Sponsor herein in accordance with its duties, obligations and standard of care as set forth in the Trust Agreement.

Form **8937**
(December 2017)
Department of the Treasury
Internal Revenue Service

Report of Organizational Actions Affecting Basis of Securities

▶ See separate instructions.

OMB No. 1545-0123

Part I Reporting Issuer

1 Issuer's name SEE APPENDIX A		2 Issuer's employer identification number (EIN) SEE APPENDIX A
3 Name of contact for additional information BNY MELLON, TRUSTEE	4 Telephone No. of contact 866-568-8985	5 Email address of contact BNYM.UIT.ETF.Tax@bnymellon.com
6 Number and street (or P.O. box if mail is not delivered to street address) of contact P.O. BOX 455		7 City, town, or post office, state, and ZIP code of contact EAST SYRACUSE, NY 13057
8 Date of action SEE APPENDIX A	9 Classification and description RETURN OF CAPITAL	

10 CUSIP number SEE APPENDIX A	11 Serial number(s) N/A	12 Ticker symbol SEE APPENDIX A	13 Account number(s) N/A

Part II Organizational Action

Attach additional statements if needed. See back of form for additional questions.

14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ▶ DURING THE YEAR ENDED DECEMBER 31, 2020, THE ISSUER DISTRIBUTED AMOUNTS TO ITS UNITHOLDERS, A PORTION OR ALL OF WHICH CONSTITUTED A RETURN OF CAPITAL. SEE APPENDIX A FOR A COMPLETE LIST OF DISTRIBUTIONS AND RETURN OF CAPITAL AMOUNTS.

15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ▶ APPENDIX A PROVIDES THE TOTAL DISTRIBUTIONS PER UNIT, AS WELL AS THE RETURN OF CAPITAL PER UNIT, ON A DISTRIBUTION BY DISTRIBUTION BASIS. THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE TAXPAYER'S ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION.

16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ▶ AN ANALYSIS OF THE ISSUER'S CURRENT AND ACCUMULATED EARNINGS AND PROFITS WAS PERFORMED IN ORDER TO DETERMINE THE PORTION OF THE DISTRIBUTION THAT CONSTITUTED A DIVIDEND AND THE PORTION THAT CONSTITUTED A RETURN OF CAPITAL.

Part II **Organizational Action** *(continued)*

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶

I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ▶ THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶

THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2020.

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature ▶ M. Kalantarova Date ▶ 2/23/2021

Print your name ▶ Margarita Kalantarova Title ▶ Vice President

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [x] if self-employed	PTIN
Baruch J. Cohen	Baruch J. Cohen	2/23/2021		P01269048
Firm's name ▶ Deloitte Tax, LLP			Firm's EIN ▶ 86-1065772	
Firm's address ▶ 30 ROCKEFELLER PLAZA NEW YORK, NY 10112-0015			Phone no. 212-492-3600	

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Form 8937 Appendix A
December 31, 2020

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust®, Strategic Growth & Income Trust, Series 14	35-2615567	83189J107	83189J131	83189J115	83189J123		SMTRSG14	1/10/2020	0.06490	0.01774
SmartTrust®, CEFA Select BDC Trust, Series 12	35-2615646	83189N108	83189N132	83189N116	83189N124		STBDC012	1/10/2020	0.10430	0.06285
SmartTrust®, CEFA Select BDC Trust, Series 12	35-2615646	83189N108	83189N132	83189N116	83189N124		STBDC012	2/10/2020	0.07830	0.04718
SmartTrust®, Preferreds Plus Trust, Series 6	35-2615647	83189P103	83189P137	83189P111	83189P129		SMTRPP06	1/10/2020	0.06450	0.06450
SmartTrust®, Preferreds Plus Trust, Series 6	35-2615647	83189P103	83189P137	83189P111	83189P129		SMTRPP06	2/10/2020	0.02360	0.02360
SmartTrust®, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H130	83200H114	83200H122		SMTREV20	1/10/2020	0.04170	0.02675
SmartTrust®, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H130	83200H114	83200H122		SMTREV20	2/10/2020	0.02680	0.01719
SmartTrust®, Enhanced Value II Trust, Series 20	35-2621932	83200H106	83200H130	83200H114	83200H122		SMTREV20	3/10/2020	0.03200	0.02053
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 15	35-2621933	83200R104	83200R138	83200R112	83200R120		SMTRIG15	1/10/2020	0.05860	0.02251
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 15	35-2621933	83200R104	83200R138	83200R112	83200R120		SMTRIG15	2/10/2020	0.06210	0.02385
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 15	35-2621933	83200R104	83200R138	83200R112	83200R120		SMTRIG15	3/10/2020	0.04990	0.01917
SmartTrust®, Healthcare Innovations Trust, Series5	35-2622032	83200T100	83200T134	83200T118	83200T126		SMTRHC05	1/10/2020	0.92570	0.32288
SmartTrust®, Technology Revolution Trust, Series 1	35-2622033	83201G107	83201G131	83201G115	83201G123		SMTRTR01	1/10/2020	0.01060	0.01060
SmartTrust®, Strategic Growth & Income Trust, Series 15	35-2622035	83200K109	83200K133	83200K117	83200K125		SMTRSG15	1/10/2020	0.05560	0.01375
SmartTrust®, Strategic Growth & Income Trust, Series 15	35-2622035	83200K109	83200K133	83200K117	83200K125		SMTRSG15	2/10/2020	0.05170	0.01279
SmartTrust®, Strategic Growth & Income Trust, Series 15	35-2622035	83200K109	83200K133	83200K117	83200K125		SMTRSG15	3/10/2020	0.05880	0.01454
SmartTrust®, Strategic Growth & Income Trust, Series 15	35-2622035	83200K109	83200K133	83200K117	83200K125		SMTRSG15	4/10/2020	0.04320	0.01068
SmartTrust®, Rising Interest Rates Hedge Trust, Series 11	35-2633803	83191R105	83191R139	83191R113	83191R121		STRIRH11	1/10/2020	0.04450	0.01560
SmartTrust®, Rising Interest Rates Hedge Trust, Series 11	35-2633803	83191R105	83191R139	83191R113	83191R121		STRIRH11	2/10/2020	0.02960	0.01037
SmartTrust®, Rising Interest Rates Hedge Trust, Series 11	35-2633803	83191R105	83191R139	83191R113	83191R121		STRIRH11	3/10/2020	0.05420	0.01900
SmartTrust®, Rising Interest Rates Hedge Trust, Series 11	35-2633803	83191R105	83191R139	83191R113	83191R121		STRIRH11	4/10/2020	0.02990	0.01048
SmartTrust®, Rising Interest Rates Hedge Trust, Series 11	35-2633803	83191R105	83191R139	83191R113	83191R121		STRIRH11	5/10/2020	0.03180	0.01115
SmartTrust®, Rising Interest Rates Hedge Trust, Series 11	35-2633803	83191R105	83191R139	83191R113	83191R121		STRIRH11	6/10/2020	0.04470	0.01567
SmartTrust®, Rising Interest Rates Hedge Trust, Series 11	35-2633803	83191R105	83191R139	83191R113	83191R121		STRIRH11	7/10/2020	0.02530	0.00887
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 16	35-2633804	83201B108	83201B132	83201B116	83201B124		SMTRIG16	1/10/2020	0.06680	0.02218
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 16	35-2633804	83201B108	83201B132	83201B116	83201B124		SMTRIG16	2/10/2020	0.05280	0.01753
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 16	35-2633804	83201B108	83201B132	83201B116	83201B124		SMTRIG16	3/10/2020	0.05820	0.01933
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 16	35-2633804	83201B108	83201B132	83201B116	83201B124		SMTRIG16	4/10/2020	0.05800	0.01926
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 16	35-2633804	83201B108	83201B132	83201B116	83201B124		SMTRIG16	5/10/2020	0.05700	0.01893
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 16	35-2633804	83201B108	83201B132	83201B116	83201B124		SMTRIG16	6/10/2020	0.05650	0.01876
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 16	35-2633804	83201B108	83201B132	83201B116	83201B124		SMTRIG16	7/10/2020	0.05470	0.01817
SmartTrust®, Enhanced Value II Trust, Series 21	35-2633805	83201D104	83201D138	83201D112	83201D120		SMTREV21	1/10/2020	0.02840	0.01168
SmartTrust®, Enhanced Value II Trust, Series 21	35-2633805	83201D104	83201D138	83201D112	83201D120		SMTREV21	2/10/2020	0.03740	0.01538
SmartTrust®, Enhanced Value II Trust, Series 21	35-2633805	83201D104	83201D138	83201D112	83201D120		SMTREV21	3/10/2020	0.03660	0.01505
SmartTrust®, Enhanced Value II Trust, Series 21	35-2633805	83201D104	83201D138	83201D112	83201D120		SMTREV21	4/10/2020	0.03200	0.01316
SmartTrust®, Enhanced Value II Trust, Series 21	35-2633805	83201D104	83201D138	83201D112	83201D120		SMTREV21	5/10/2020	0.04800	0.01974
SmartTrust®, Enhanced Value II Trust, Series 21	35-2633805	83201D104	83201D138	83201D112	83201D120		SMTREV21	6/10/2020	0.02880	0.01184
SmartTrust®, Enhanced Value II Trust, Series 21	35-2633805	83201D104	83201D138	83201D112	83201D120		SMTREV21	7/10/2020	0.02780	0.01143
SmartTrust®, Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T133	83201T117	83201T125		SMTRSG16	1/10/2020	0.06720	0.01956
SmartTrust®, Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T133	83201T117	83201T125		SMTRSG16	2/10/2020	0.04110	0.01196
SmartTrust®, Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T133	83201T117	83201T125		SMTRSG16	3/10/2020	0.06230	0.01813
SmartTrust®, Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T133	83201T117	83201T125		SMTRSG16	4/10/2020	0.05950	0.01731
SmartTrust®, Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T133	83201T117	83201T125		SMTRSG16	5/10/2020	0.04590	0.01336
SmartTrust®, Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T133	83201T117	83201T125		SMTRSG16	6/10/2020	0.05850	0.01702
SmartTrust®, Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T133	83201T117	83201T125		SMTRSG16	7/10/2020	0.05670	0.01650
SmartTrust®, Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T133	83201T117	83201T125		SMTRSG16	8/10/2020	0.04570	0.01330
SmartTrust®, Strategic Growth & Income Trust, Series 16	35-2636437	83201T109	83201T133	83201T117	83201T125		SMTRSG16	9/10/2020	0.05660	0.01647
SmartTrust®, Dynamic Sector Income Trust, Series 22	35-2639973	83201K108	83201K132	83201K116	83201K124		SMTRDS22	1/10/2020	0.05230	0.01556
SmartTrust®, Dynamic Sector Income Trust, Series 22	35-2639973	83201K108	83201K132	83201K116	83201K124		SMTRDS22	2/10/2020	0.03360	0.01000
SmartTrust®, Dynamic Sector Income Trust, Series 22	35-2639973	83201K108	83201K132	83201K116	83201K124		SMTRDS22	3/10/2020	0.06220	0.01851
SmartTrust®, Dynamic Sector Income Trust, Series 22	35-2639973	83201K108	83201K132	83201K116	83201K124		SMTRDS22	4/10/2020	0.04690	0.01396
SmartTrust®, Dynamic Sector Income Trust, Series 22	35-2639973	83201K108	83201K132	83201K116	83201K124		SMTRDS22	5/10/2020	0.03680	0.01095
SmartTrust®, Dynamic Sector Income Trust, Series 22	35-2639973	83201K108	83201K132	83201K116	83201K124		SMTRDS22	6/10/2020	0.04430	0.01318
SmartTrust®, Dynamic Sector Income Trust, Series 22	35-2639973	83201K108	83201K132	83201K116	83201K124		SMTRDS22	7/10/2020	0.03790	0.01128
SmartTrust®, Dynamic Sector Income Trust, Series 22	35-2639973	83201K108	83201K132	83201K116	83201K124		SMTRDS22	8/10/2020	0.03050	0.00908
SmartTrust®, Dynamic Sector Income Trust, Series 22	35-2639973	83201K108	83201K132	83201K116	83201K124		SMTRDS22	9/10/2020	0.04520	0.01345
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	1/10/2020	0.03430	0.01642
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	2/10/2020	0.03970	0.01901
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	3/10/2020	0.03020	0.01446
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	4/10/2020	0.03320	0.01590
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	5/10/2020	0.04900	0.02346
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	6/10/2020	0.02460	0.01178
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	7/10/2020	0.03980	0.01906
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	8/10/2020	0.02710	0.01298
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	9/10/2020	0.02480	0.01187
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	10/10/2020	0.03850	0.01843
SmartTrust, Enhanced Value II Trust, Series 22	35-2642550	83202B107	83202B131	83202B115	83202B123		SMTREV22	11/10/2020	0.02120	0.01015
SmartTrust, Dorsey Wright® International MomentumTrust, Series 14	35-2646073	83202Q104	83202Q138	83202Q112	83202Q120		SMTRDW14	1/10/2020	0.01190	0.01190
SmartTrust, Dorsey Wright® International MomentumTrust, Series 14	35-2646073	83202Q104	83202Q138	83202Q112	83202Q120		SMTRDW14	2/10/2020	0.01650	0.01650
SmartTrust, Dorsey Wright® International MomentumTrust, Series 14	35-2646073	83202Q104	83202Q138	83202Q112	83202Q120		SMTRDW14	2/10/2020	0.23110	0.23110
SmartTrust, Dorsey Wright® International MomentumTrust, Series 14	35-2646073	83202Q104	83202Q138	83202Q112	83202Q120		SMTRDW14	3/10/2020	0.01360	0.01360
SmartTrust, Miller/Howard North American Energy Opportunities Trust, Series 13	35-2646074	83203K106	83203K130	83203K114	83203K122		SMTRMH13	3/10/2020	0.03770	0.03770
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	1/10/2020	0.03640	0.00275
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	2/10/2020	0.08770	0.00662
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	3/10/2020	0.02290	0.00173
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	4/10/2020	0.13430	0.01014
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	5/10/2020	0.07080	0.00535
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	6/10/2020	0.01910	0.00144
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	7/10/2020	0.12070	0.00911
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	8/10/2020	0.06150	0.00464
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	9/10/2020	0.02840	0.00214
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	10/10/2020	0.11060	0.00835
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	11/10/2020	0.07070	0.00534
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	12/10/2020	0.01980	0.00149
SmartTrust, CEFA Select BDC Trust, Series 15	35-2648359	83200U107	83200U131	83200U115	83200U123		STBDC015	12/31/2020	0.01480	0.00112
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	1/10/2020	0.03590	0.00445
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	2/10/2020	0.03880	0.00481
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	3/10/2020	0.01480	0.00183
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	4/10/2020	0.07370	0.00913
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	5/10/2020	0.03440	0.00426

Form 8937 Appendix A
December 31, 2020

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	6/10/2020	0.01840	0.00228
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	7/10/2020	0.07350	0.00911
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	8/10/2020	0.03830	0.00475
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	9/10/2020	0.01430	0.00177
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	10/10/2020	0.07330	0.00908
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	11/10/2020	0.03810	0.00472
SmartTrust, Preferreds Plus Trust, Series 9	35-2648360	83203R101	83203R135	83203R119	83203R127		SMTRPP09	12/10/2020	0.01420	0.00176
SmartTrust, Morningstar Dividend Yield Select Trust, Series 9	35-2648385	83203P105	83203P139	83203P113	83203P121		SMTRMD09	1/10/2020	0.03520	0.01030
SmartTrust, Morningstar Dividend Yield Select Trust, Series 9	35-2648385	83203P105	83203P139	83203P113	83203P121		SMTRMD09	2/10/2020	0.01100	0.00322
SmartTrust, Morningstar Dividend Yield Select Trust, Series 9	35-2648385	83203P105	83203P139	83203P113	83203P121		SMTRMD09	3/10/2020	0.04460	0.01305
SmartTrust, Morningstar Dividend Yield Select Trust, Series 9	35-2648385	83203P105	83203P139	83203P113	83203P121		SMTRMD09	4/10/2020	0.04080	0.01194
SmartTrust, Morningstar Dividend Yield Select Trust, Series 9	35-2648385	83203P105	83203P139	83203P113	83203P121		SMTRMD09	5/10/2020	0.01140	0.00334
SmartTrust, Dorsey Wright® International MomentumTrust, Series 15	35-2648387	83202W101	83202W135	83202W119	83202W127		SMTRDW15	1/10/2020	0.01830	0.01409
SmartTrust, Dorsey Wright® International MomentumTrust, Series 15	35-2648387	83202W101	83202W135	83202W119	83202W127		SMTRDW15	2/10/2020	0.19900	0.15327
SmartTrust, Dorsey Wright® International MomentumTrust, Series 15	35-2648387	83202W101	83202W135	83202W119	83202W127		SMTRDW15	3/10/2020	0.01370	0.01055
SmartTrust, Dorsey Wright® International MomentumTrust, Series 15	35-2648387	83202W101	83202W135	83202W119	83202W127		SMTRDW15	4/10/2020	0.01770	0.01363
SmartTrust, Dorsey Wright® International MomentumTrust, Series 15	35-2648387	83202W101	83202W135	83202W119	83202W127		SMTRDW15	4/10/2020	0.10050	0.07741
SmartTrust, Dorsey Wright® International MomentumTrust, Series 15	35-2648387	83202W101	83202W135	83202W119	83202W127		SMTRDW15	5/10/2020	0.02180	0.01678
SmartTrust, Dorsey Wright® International MomentumTrust, Series 15	35-2648387	83202W101	83202W135	83202W119	83202W127		SMTRDW15	6/10/2020	0.03170	0.02440
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	1/10/2020	0.02640	0.00964
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	2/10/2020	0.03540	0.01292
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	3/10/2020	0.01650	0.00602
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	4/10/2020	0.03130	0.01142
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	5/10/2020	0.03860	0.01409
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	6/10/2020	0.02350	0.00858
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	7/10/2020	0.04040	0.01475
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	8/10/2020	0.03980	0.01453
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	9/10/2020	0.01680	0.00613
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	10/10/2020	0.02300	0.00840
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	11/10/2020	0.03360	0.01226
SmartTrust, Enhanced Value II Trust, Series 23	35-2648389	83203T107	83203T131	83203T115	83203T123		SMTREV23	12/10/2020	0.03020	0.01102
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	1/10/2020	0.03800	0.01032
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	2/10/2020	0.05760	0.01564
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	3/10/2020	0.05240	0.01423
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	4/10/2020	0.05210	0.01415
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	5/10/2020	0.05930	0.01611
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	6/10/2020	0.05050	0.01372
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	7/10/2020	0.05030	0.01366
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	8/10/2020	0.05900	0.01602
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	9/10/2020	0.05040	0.01369
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	10/10/2020	0.04960	0.01347
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	11/10/2020	0.05880	0.01597
SmartTrust, Portfolio of Closed-End Fund Opportunities Trust, series 18	35-2648390	83202X109	83202X133	83202X117	83202X125		SMTRIG18	12/10/2020	0.05030	0.01366
SmartTrust, Defensive 50 Equities Trust, Series 19	35-2648391	83203D102	83203D136	83203D110	83203D128		STDEFE19	1/10/2020	0.02540	0.01256
SmartTrust, Defensive 50 Equities Trust, Series 19	35-2648391	83203D102	83203D136	83203D110	83203D128		STDEFE19	2/10/2020	0.01350	0.00668
SmartTrust, Defensive 50 Equities Trust, Series 19	35-2648391	83203D102	83203D136	83203D110	83203D128		STDEFE19	3/10/2020	0.02610	0.01291
SmartTrust, Defensive 50 Equities Trust, Series 19	35-2648391	83203D102	83203D136	83203D110	83203D128		STDEFE19	4/10/2020	0.02290	0.01133
SmartTrust, Defensive 50 Equities Trust, Series 19	35-2648391	83203D102	83203D136	83203D110	83203D128		STDEFE19	5/10/2020	0.01630	0.00806
SmartTrust, Defensive 50 Equities Trust, Series 19	35-2648391	83203D102	83203D136	83203D110	83203D128		STDEFE19	6/10/2020	0.02470	0.01222
SmartTrust, Zacks GARP Composite 35 Trust, Series21	35-2648392	83203J109	83203J133	83203J117	83203J125		STGARP21	1/10/2020	0.01530	0.01530
SmartTrust, Zacks GARP Composite 35 Trust, Series21	35-2648392	83203J109	83203J133	83203J117	83203J125		STGARP21	3/10/2020	0.01390	0.01390
SmartTrust, Zacks GARP Composite 35 Trust, Series21	35-2648392	83203J109	83203J133	83203J117	83203J125		STGARP21	4/10/2020	0.01300	0.01300
SmartTrust, Zacks GARP Composite 35 Trust, Series21	35-2648392	83203J109	83203J133	83203J117	83203J125		STGARP21	6/10/2020	0.01140	0.01140
SmartTrust®, Healthcare Innovations Trust, Series7	35-2654590	83203Q103	83203Q137	83203Q111	83203Q129		SMTRHC07	1/10/2020	0.96540	0.33789
SmartTrust®, Healthcare Innovations Trust, Series7	35-2654590	83203Q103	83203Q137	83203Q111	83203Q129		SMTRHC07	11/10/2020	2.05610	0.71964
SmartTrust®, Healthcare Innovations Trust, Series7	35-2654590	83203Q103	83203Q137	83203Q111	83203Q129		SMTRHC07	12/10/2020	1.53780	0.53823
SmartTrust®, Technology Revolution Trust, Series 3	35-2654591	83204C103	83204C137	83204C111	83204C129		SMTRTR03	2/10/2020	0.01100	0.00656
SmartTrust®, Technology Revolution Trust, Series 3	35-2654591	83204C103	83204C137	83204C111	83204C129		SMTRTR03	5/10/2020	0.01480	0.00882
SmartTrust®, Technology Revolution Trust, Series 3	35-2654591	83204C103	83204C137	83204C111	83204C129		SMTRTR03	5/10/2020	0.39200	0.39200
SmartTrust®, Technology Revolution Trust, Series 3	35-2654591	83204C103	83204C137	83204C111	83204C129		SMTRTR03	8/10/2020	0.01150	0.00686
SmartTrust®, Technology Revolution Trust, Series 3	35-2654591	83204C103	83204C137	83204C111	83204C129		SMTRTR03	10/10/2020	0.01350	0.00805
SmartTrust®, Technology Revolution Trust, Series 3	35-2654591	83204C103	83204C137	83204C111	83204C129		SMTRTR03	12/10/2020	0.01250	0.00745
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	1/10/2020	0.01570	0.00526
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	2/10/2020	0.01520	0.00509
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	3/10/2020	0.01340	0.00449
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	4/10/2020	0.01530	0.00513
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	5/10/2020	0.01550	0.00519
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	6/10/2020	0.01580	0.00529
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	7/10/2020	0.01330	0.00446
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	8/10/2020	0.01490	0.00499
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	9/10/2020	0.01620	0.00543
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	10/10/2020	0.01190	0.00399
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	11/10/2020	0.01500	0.00503
SmartTrust®, Sustainable Impact Investing Trust, Series 14	35-2654592	83204B105	83204B139	83204B113	83204B121		SMTRSI14	12/10/2020	0.01470	0.00493
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	1/10/2020	0.03870	0.01252
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	2/10/2020	0.04300	0.01391
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	3/10/2020	0.05340	0.01728
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	4/10/2020	0.04720	0.01527
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	4/10/2020	0.13400	0.13400
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	5/10/2020	0.03900	0.01262
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	6/10/2020	0.04800	0.01553
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	7/10/2020	0.04090	0.01324
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	8/10/2020	0.03630	0.01175
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	9/10/2020	0.04660	0.01508
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	10/10/2020	0.03990	0.01291
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	11/10/2020	0.03510	0.01136
SmartTrust®, Strategic Growth & Income Trust, Series 18	35-2654643	83192A101	83192A135	83192A119	83192A127		SMTRSG18	12/10/2020	0.04370	0.01414
SmartTrust®, 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W134	83203W118	83203W126		SMTRSF01	2/10/2020	0.01960	0.00474
SmartTrust®, 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W134	83203W118	83203W126		SMTRSF01	3/10/2020	0.02850	0.00690
SmartTrust®, 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W134	83203W118	83203W126		SMTRSF01	4/10/2020	0.01360	0.00329
SmartTrust®, 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W134	83203W118	83203W126		SMTRSF01	5/10/2020	0.01010	0.00244
SmartTrust®, 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W134	83203W118	83203W126		SMTRSF01	6/10/2020	0.02920	0.00707
SmartTrust®, 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W134	83203W118	83203W126		SMTRSF01	7/10/2020	0.01020	0.00247
SmartTrust®, 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W134	83203W118	83203W126		SMTRSF01	9/10/2020	0.03720	0.00900
SmartTrust®, 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W134	83203W118	83203W126		SMTRSF01	11/10/2020	0.01660	0.00402
SmartTrust®, 60/40 Quality Allocation Trust, Series 1	35-2654644	83203W100	83203W134	83203W118	83203W126		SMTRSF01	12/10/2020	0.02780	0.00673

Form 8937 Appendix A
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Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	1/10/2020	0.02800	0.00699
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	2/10/2020	0.02350	0.00587
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	3/10/2020	0.02640	0.00659
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	4/10/2020	0.05010	0.01251
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	4/10/2020	0.31290	0.31290
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	5/10/2020	0.01940	0.00485
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	6/10/2020	0.02550	0.00637
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	7/10/2020	0.03620	0.00904
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	8/10/2020	0.02010	0.00502
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	9/10/2020	0.02340	0.00585
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	10/10/2020	0.03320	0.00829
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	11/10/2020	0.01970	0.00492
SmartTrust®, Dynamic Sector Income Trust, Series 24	35-2664120	831921101	831921135	831921119	831921127		SMTRDS24	12/10/2020	0.02380	0.00595
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 10	35-2664121	83192B109	83192B133	83192B117	83192B125		SMTRMD10	1/10/2020	0.03540	0.01386
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 10	35-2664121	83192B109	83192B133	83192B117	83192B125		SMTRMD10	3/10/2020	0.04230	0.01656
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 10	35-2664121	83192B109	83192B133	83192B117	83192B125		SMTRMD10	4/10/2020	0.04350	0.01703
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 10	35-2664121	83192B109	83192B133	83192B117	83192B125		SMTRMD10	6/10/2020	0.04550	0.01781
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 10	35-2664121	83192B109	83192B133	83192B117	83192B125		SMTRMD10	7/10/2020	0.02600	0.01018
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	2/10/2020	0.05050	0.00046
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	3/10/2020	0.02370	0.00022
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	4/10/2020	0.12900	0.00117
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	5/10/2020	0.06010	0.00055
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	6/10/2020	0.01010	0.01010
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	6/10/2020	0.01860	0.00017
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	7/10/2020	0.12760	0.00116
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	8/10/2020	0.04890	0.00044
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	9/10/2020	0.02800	0.00025
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	10/10/2020	0.11300	0.00103
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	11/10/2020	0.06180	0.00056
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	12/10/2020	0.03160	0.00029
SmartTrust®, CEFA Select BDC Trust, Series 16	35-2664123	83191X102	83191X136	83191X110	83191X128		STBDC016	12/31/2020	0.01740	0.00016
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 16	35-2664124	83204M101	83204M135	83204M119	83204M127		SMTRDW16	2/10/2020	0.19530	0.12722
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 16	35-2664124	83204M101	83204M135	83204M119	83204M127		SMTRDW16	5/10/2020	0.01810	0.01810
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 16	35-2664124	83204M101	83204M135	83204M119	83204M127		SMTRDW16	6/10/2020	0.01590	0.01590
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 16	35-2664124	83204M101	83204M135	83204M119	83204M127		SMTRDW16	7/10/2020	0.03790	0.03790
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 16	35-2664124	83204M101	83204M135	83204M119	83204M127		SMTRDW16	9/10/2020	0.01800	0.01800
SmartTrust®, Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F130	83204F114	83204F122		STDEFE20	1/10/2020	0.02720	0.01166
SmartTrust®, Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F130	83204F114	83204F122		STDEFE20	2/10/2020	0.01160	0.00497
SmartTrust®, Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F130	83204F114	83204F122		STDEFE20	3/10/2020	0.02350	0.01008
SmartTrust®, Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F130	83204F114	83204F122		STDEFE20	4/10/2020	0.02610	0.01119
SmartTrust®, Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F130	83204F114	83204F122		STDEFE20	5/10/2020	0.01360	0.00583
SmartTrust®, Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F130	83204F114	83204F122		STDEFE20	6/10/2020	0.02350	0.01008
SmartTrust®, Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F130	83204F114	83204F122		STDEFE20	7/10/2020	0.02680	0.01149
SmartTrust®, Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F130	83204F114	83204F122		STDEFE20	8/10/2020	0.01090	0.00467
SmartTrust®, Defensive 50 Equities Trust, Series 20	35-2664125	83204F106	83204F130	83204F114	83204F122		STDEFE20	9/10/2020	0.02360	0.01012
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	2/10/2020	0.03410	0.00243
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	3/10/2020	0.02290	0.00164
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	4/10/2020	0.06510	0.00465
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	5/10/2020	0.03190	0.00228
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	6/10/2020	0.02610	0.00186
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	7/10/2020	0.06470	0.00462
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	8/10/2020	0.03540	0.00253
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	9/10/2020	0.02220	0.00159
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	10/10/2020	0.06460	0.00461
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	11/10/2020	0.03530	0.00252
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	12/10/2020	0.02230	0.00159
SmartTrust®, Preferred Plus Trust, Series 10	35-2664127	83204G104	83204G138	83204G112	83204G120		SMTRPP10	12/31/2020	0.02670	0.00191
SmartTrust®, Argus Modern Innovators Trust, SeriesS	35-2667097	83204H102	83204H136	83204H110	83204H128		SMTRMI05	2/10/2020	0.02060	0.00901
SmartTrust®, Argus Modern Innovators Trust, SeriesS	35-2667097	83204H102	83204H136	83204H110	83204H128		SMTRMI05	4/10/2020	0.01870	0.00818
SmartTrust®, Argus Modern Innovators Trust, SeriesS	35-2667097	83204H102	83204H136	83204H110	83204H128		SMTRMI05	6/10/2020	0.01110	0.00486
SmartTrust®, Argus Modern Innovators Trust, SeriesS	35-2667097	83204H102	83204H136	83204H110	83204H128		SMTRMI05	7/10/2020	0.01040	0.00455
SmartTrust®, Argus Modern Innovators Trust, SeriesS	35-2667097	83204H102	83204H136	83204H110	83204H128		SMTRMI05	9/10/2020	0.01100	0.00481
SmartTrust®, Argus Modern Innovators Trust, SeriesS	35-2667097	83204H102	83204H136	83204H110	83204H128		SMTRMI05	10/10/2020	0.01030	0.00451
SmartTrust®, E-Commerce Ecosystem Trust, Series 5	35-2667098	83204K105	83204K139	83204K113	83204K121		SMTREC05	2/10/2020	0.01030	0.00861
SmartTrust®, E-Commerce Ecosystem Trust, Series 5	35-2667098	83204K105	83204K139	83204K113	83204K121		SMTREC05	4/10/2020	0.01980	0.01656
SmartTrust®, E-Commerce Ecosystem Trust, Series 5	35-2667098	83204K105	83204K139	83204K113	83204K121		SMTREC05	6/10/2020	0.01270	0.01062
SmartTrust®, E-Commerce Ecosystem Trust, Series 5	35-2667098	83204K105	83204K139	83204K113	83204K121		SMTREC05	9/10/2020	0.01920	0.01606
SmartTrust®, E-Commerce Ecosystem Trust, Series 5	35-2667098	83204K105	83204K139	83204K113	83204K121		SMTREC05	12/10/2020	0.01950	0.01631
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	2/10/2020	0.03190	0.00625
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	3/10/2020	0.02500	0.00490
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	4/10/2020	0.03670	0.00719
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	5/10/2020	0.04800	0.00940
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	6/10/2020	0.01650	0.00323
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	7/10/2020	0.04940	0.00967
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	8/10/2020	0.04110	0.00805
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	9/10/2020	0.01560	0.00305
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	10/10/2020	0.02750	0.00538
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	11/10/2020	0.05400	0.01057
SmartTrust®, Enhanced Value II Trust, Series 24	35-2667099	83204L103	83204L137	83204L111	83204L129		SMTREV24	12/10/2020	0.02560	0.00501
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	1/10/2020	0.01770	0.00528
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	2/10/2020	0.04540	0.01354
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	3/10/2020	0.05670	0.01691
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	4/10/2020	0.05630	0.01679
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	5/10/2020	0.05450	0.01625
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	6/10/2020	0.05400	0.01610
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	7/10/2020	0.05290	0.01577
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	8/10/2020	0.05270	0.01572
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	9/10/2020	0.05250	0.01566
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	10/10/2020	0.05230	0.01560
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	11/10/2020	0.05190	0.01548
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 19	35-2667100	83204N109	83204N133	83204N117	83204N125		SMTRIG19	12/10/2020	0.05210	0.01554
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	1/10/2020	0.02180	0.00680
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	2/10/2020	0.02860	0.00893
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	3/10/2020	0.02240	0.00699
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	4/10/2020	0.04040	0.01261
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	5/10/2020	0.04080	0.01273
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	6/10/2020	0.02150	0.00671
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	7/10/2020	0.02870	0.00896

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Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	8/10/2020	0.03490	0.01089
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	9/10/2020	0.02170	0.00677
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	10/10/2020	0.02940	0.00918
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	11/10/2020	0.03580	0.01117
SmartTrust®, Rising Interest Rates Hedge Trust, Series 13	35-2667101	83204T106	83204T130	83204T114	83204T122		STRIRH13	12/10/2020	0.03680	0.01149
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	1/10/2020	0.01550	0.00370
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	2/10/2020	0.02670	0.00637
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	3/10/2020	0.03500	0.00835
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	4/10/2020	0.04110	0.00981
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	5/10/2020	0.01290	0.00308
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	6/10/2020	0.03770	0.00900
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	7/10/2020	0.02140	0.00511
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	8/10/2020	0.01100	0.00263
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	9/10/2020	0.03950	0.00943
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	10/10/2020	0.02100	0.00501
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 11	35-2669586	83192G108	83192G132	83192G116	83192G124		SMTRMD11	12/31/2020	0.00200	0.00048
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	1/10/2020	0.01090	0.00161
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	2/10/2020	0.02500	0.00369
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	3/10/2020	0.01170	0.00173
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	4/10/2020	0.01490	0.00220
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	5/10/2020	0.01110	0.00164
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	6/10/2020	0.01200	0.00177
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	7/10/2020	0.01710	0.00252
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	9/10/2020	0.02260	0.00334
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	10/10/2020	0.01440	0.00213
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 22	35-2669587	83204X107	83204X131	83204X115	83204X123		SMTRAR22	11/10/2020	0.01180	0.00174
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	1/10/2020	0.01580	0.00463
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	2/10/2020	0.03720	0.01091
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	3/10/2020	0.04930	0.01446
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	4/10/2020	0.05380	0.01578
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	5/10/2020	0.04450	0.01305
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	6/10/2020	0.04600	0.01349
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	7/10/2020	0.04330	0.01270
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	8/10/2020	0.04370	0.01282
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	9/10/2020	0.04760	0.01396
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	10/10/2020	0.04260	0.01249
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	11/10/2020	0.04280	0.01255
SmartTrust®, Strategic Growth & Income Trust, Series 19	35-2669588	83204Y105	83204Y139	83204Y113	83204Y121		SMTRSG19	12/10/2020	0.04510	0.01323
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 17	35-2669589	83192F100	83192F134	83192F118	83192F126		SMTRDW17	2/10/2020	0.14800	0.14800
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 17	35-2669589	83192F100	83192F134	83192F118	83192F126		SMTRDW17	6/10/2020	0.01550	0.00207
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 17	35-2669589	83192F100	83192F134	83192F118	83192F126		SMTRDW17	7/10/2020	0.03640	0.00485
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 17	35-2669589	83192F100	83192F134	83192F118	83192F126		SMTRDW17	8/10/2020	0.01450	0.00193
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 17	35-2669589	83192F100	83192F134	83192F118	83192F126		SMTRDW17	9/10/2020	0.01280	0.00171
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 17	35-2669589	83192F100	83192F134	83192F118	83192F126		SMTRDW17	10/10/2020	0.01910	0.00255
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 17	35-2669589	83192F100	83192F134	83192F118	83192F126		SMTRDW17	11/10/2020	0.01480	0.00197
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	2/10/2020	0.01930	0.00732
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	3/10/2020	0.02180	0.00827
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	4/10/2020	0.02380	0.00902
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	5/10/2020	0.01350	0.00512
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	6/10/2020	0.02170	0.00823
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	7/10/2020	0.02430	0.00921
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	8/10/2020	0.01090	0.00413
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	9/10/2020	0.02180	0.00827
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	10/10/2020	0.02400	0.00910
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	11/10/2020	0.01090	0.00413
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	12/10/2020	0.02220	0.00842
SmartTrust®, Defensive 50 Equities Trust, Series 21	35-2669590	83205C102	83205C136	83205C110	83205C128		STDEFE21	12/31/2020	0.15090	0.15090
SmartTrust®, Zacks GARP Composite 35 Trust, Series23	35-2669591	83191Y100	83191Y134	83191Y118	83191Y126		STGARP23	9/10/2020	0.01040	0.01040
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	1/10/2020	0.01190	0.00238
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	2/10/2020	0.03010	0.00603
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	3/10/2020	0.01960	0.00393
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	4/10/2020	0.05620	0.01126
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	5/10/2020	0.02750	0.00551
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	6/10/2020	0.02210	0.00443
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	7/10/2020	0.04090	0.00820
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	8/10/2020	0.02650	0.00531
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	9/10/2020	0.02190	0.00439
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	10/10/2020	0.03690	0.00739
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	11/10/2020	0.02650	0.00531
SmartTrust®, Dynamic Sector Income Trust, Series 25	35-2669592	831923107	831923131	831923115	831923123		SMTRDS25	12/10/2020	0.02130	0.00427
SmartTrust®, Healthcare Innovations Trust, Series8	35-2671516	831704341	831704374	831704358	831704366		SMTRHC08	1/10/2020	0.50200	0.20697
SmartTrust®, Healthcare Innovations Trust, Series8	35-2671516	831704341	831704374	831704358	831704366		SMTRHC08	11/10/2020	2.08860	0.86113
SmartTrust®, Healthcare Innovations Trust, Series8	35-2671516	831704341	831704374	831704358	831704366		SMTRHC08	12/10/2020	1.20910	0.49851
SmartTrust®, Technology Revolution Trust, Series 4	35-2671517	831704382	831704416	831704390	831704408		SMTRTR04	12/31/2020	0.00180	0.00180
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	2/10/2020	0.05510	0.00080
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	3/10/2020	0.02090	0.00031
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	4/10/2020	0.11620	0.00170
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	5/10/2020	0.07400	0.00108
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	6/10/2020	0.01180	0.01180
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	6/10/2020	0.01650	0.00024
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	7/10/2020	0.10970	0.00160
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	8/10/2020	0.06110	0.00089
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	9/10/2020	0.02670	0.00039
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	10/10/2020	0.09950	0.00145
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	11/10/2020	0.07160	0.00105
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	12/10/2020	0.02860	0.00042
SmartTrust®, CEFA Select BDC Trust, Series 17	35-2671518	83192J102	83192J136	83192J110	83192J128		STBDC017	12/31/2020	0.03000	0.00044
SmartTrust®, Sustainable Impact Investing Trust, Series 15	35-2671519	83205M100	83205M134	83205M118	83205M126		SMTRSI15	2/10/2020	0.01440	0.00661
SmartTrust®, Sustainable Impact Investing Trust, Series 15	35-2671519	83205M100	83205M134	83205M118	83205M126		SMTRSI15	4/10/2020	0.01800	0.00827
SmartTrust®, Sustainable Impact Investing Trust, Series 15	35-2671519	83205M100	83205M134	83205M118	83205M126		SMTRSI15	5/10/2020	0.01890	0.00868
SmartTrust®, Sustainable Impact Investing Trust, Series 15	35-2671519	83205M100	83205M134	83205M118	83205M126		SMTRSI15	7/10/2020	0.01850	0.00850
SmartTrust®, Sustainable Impact Investing Trust, Series 15	35-2671519	83205M100	83205M134	83205M118	83205M126		SMTRSI15	8/10/2020	0.01830	0.00841
SmartTrust®, Sustainable Impact Investing Trust, Series 15	35-2671519	83205M100	83205M134	83205M118	83205M126		SMTRSI15	9/10/2020	0.01270	0.00583
SmartTrust®, Sustainable Impact Investing Trust, Series 15	35-2671519	83205M100	83205M134	83205M118	83205M126		SMTRSI15	11/10/2020	0.02530	0.01162
SmartTrust®, Sustainable Impact Investing Trust, Series 15	35-2671519	83205M100	83205M134	83205M118	83205M126		SMTRSI15	12/10/2020	0.01280	0.00588
SmartTrust®, SMID Strength Trust, Series 5	35-2671520	83205V100	83205V134	83205V118	83205V126		STSMID05	12/31/2020	0.00230	0.00230

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Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	2/10/2020	0.02710	0.00319
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	3/10/2020	0.02450	0.00289
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	4/10/2020	0.05570	0.00656
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	5/10/2020	0.03660	0.00431
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	6/10/2020	0.02740	0.00323
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	7/10/2020	0.05850	0.00689
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	8/10/2020	0.03790	0.00446
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	9/10/2020	0.02480	0.00292
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	10/10/2020	0.05850	0.00689
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	11/10/2020	0.03800	0.00448
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	12/10/2020	0.02440	0.00287
SmartTrust®, Preferred Plus Trust, Series 11	35-2671521	83205F105	83205F139	83205F113	83205F121		SMTRPP11	12/31/2020	0.02890	0.00340
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	3/10/2020	0.03860	0.00450
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	4/10/2020	0.05310	0.00619
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	5/10/2020	0.02460	0.00287
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	6/10/2020	0.02100	0.00245
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	7/10/2020	0.03780	0.00441
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	8/10/2020	0.03760	0.00438
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	9/10/2020	0.03680	0.00429
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	10/10/2020	0.02800	0.00326
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	11/10/2020	0.03150	0.00367
SmartTrust®, Enhanced Value II Trust, Series 25	35-2674506	831704424	831704457	831704432	831704440		SMTREV25	12/10/2020	0.04140	0.00483
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	2/10/2020	0.04110	0.00967
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	3/10/2020	0.05050	0.01188
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	4/10/2020	0.06540	0.01538
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	5/10/2020	0.05890	0.01385
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	6/10/2020	0.04790	0.01127
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	7/10/2020	0.06170	0.01451
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	8/10/2020	0.06430	0.01512
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	9/10/2020	0.04630	0.01089
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	10/10/2020	0.05910	0.01390
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	11/10/2020	0.06330	0.01489
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	12/10/2020	0.04600	0.01082
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 20	35-2674507	831704465	831704499	831704473	831704481		SMTRIG20	12/31/2020	0.02300	0.00541
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 12	35-2676933	83205J107	83205J131	83205J115	83205J123		SMTRMD12	2/10/2020	0.01890	0.00446
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 12	35-2676933	83205J107	83205J131	83205J115	83205J123		SMTRMD12	3/10/2020	0.03590	0.00847
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 12	35-2676933	83205J107	83205J131	83205J115	83205J123		SMTRMD12	4/10/2020	0.03840	0.00905
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 12	35-2676933	83205J107	83205J131	83205J115	83205J123		SMTRMD12	6/10/2020	0.04460	0.01052
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 12	35-2676933	83205J107	83205J131	83205J115	83205J123		SMTRMD12	7/10/2020	0.02350	0.00554
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 12	35-2676933	83205J107	83205J131	83205J115	83205J123		SMTRMD12	8/10/2020	0.01060	0.00250
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 12	35-2676933	83205J107	83205J131	83205J115	83205J123		SMTRMD12	9/10/2020	0.03420	0.00806
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 12	35-2676933	83205J107	83205J131	83205J115	83205J123		SMTRMD12	10/10/2020	0.02340	0.00552
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 12	35-2676933	83205J107	83205J131	83205J115	83205J123		SMTRMD12	12/10/2020	0.04570	0.01078
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 23	35-2676934	83205T105	83205T139	83205T113	83205T121		SMTRAR23	3/10/2020	0.01170	0.00659
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 23	35-2676934	83205T105	83205T139	83205T113	83205T121		SMTRAR23	4/10/2020	0.01530	0.00861
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 23	35-2676934	83205T105	83205T139	83205T113	83205T121		SMTRAR23	6/10/2020	0.01970	0.01109
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 23	35-2676934	83205T105	83205T139	83205T113	83205T121		SMTRAR23	7/10/2020	0.01590	0.00895
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 23	35-2676934	83205T105	83205T139	83205T113	83205T121		SMTRAR23	9/10/2020	0.01930	0.01086
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 23	35-2676934	83205T105	83205T139	83205T113	83205T121		SMTRAR23	10/10/2020	0.01380	0.00777
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 23	35-2676934	83205T105	83205T139	83205T113	83205T121		SMTRAR23	12/10/2020	0.02080	0.01171
SmartTrust®, Fundamental Equity Contrarians Trust,Series 1	35-2676935	83205B104	83205B138	83205B112	83205B120		STFECT01	4/10/2020	0.01010	0.01010
SmartTrust®, Fundamental Equity Contrarians Trust,Series 1	35-2676935	83205B104	83205B138	83205B112	83205B120		STFECT01	8/10/2020	0.01290	0.01290
SmartTrust®, Fundamental Equity Contrarians Trust,Series 1	35-2676935	83205B104	83205B138	83205B112	83205B120		STFECT01	12/10/2020	0.01340	0.01340
SmartTrust®, 60/40 Quality Allocation Trust, Series 2	35-2676936	831925102	831925136	831925110	831925128		SMTRSF02	3/10/2020	0.01960	0.00591
SmartTrust®, 60/40 Quality Allocation Trust, Series 2	35-2676936	831925102	831925136	831925110	831925128		SMTRSF02	5/10/2020	0.01950	0.00588
SmartTrust®, 60/40 Quality Allocation Trust, Series 2	35-2676936	831925102	831925136	831925110	831925128		SMTRSF02	6/10/2020	0.02250	0.00678
SmartTrust®, 60/40 Quality Allocation Trust, Series 2	35-2676936	831925102	831925136	831925110	831925128		SMTRSF02	8/10/2020	0.01730	0.00521
SmartTrust®, 60/40 Quality Allocation Trust, Series 2	35-2676936	831925102	831925136	831925110	831925128		SMTRSF02	9/10/2020	0.02360	0.00711
SmartTrust®, 60/40 Quality Allocation Trust, Series 2	35-2676936	831925102	831925136	831925110	831925128		SMTRSF02	11/10/2020	0.01480	0.00446
SmartTrust®, 60/40 Quality Allocation Trust, Series 2	35-2676936	831925102	831925136	831925110	831925128		SMTRSF02	12/10/2020	0.02090	0.00630
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 18	35-2676937	83205L102	83205L136	83205L110	83205L128		SMTRDW18	6/10/2020	0.01450	0.00557
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 18	35-2676937	83205L102	83205L136	83205L110	83205L128		SMTRDW18	7/10/2020	0.01670	0.00641
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 18	35-2676937	83205L102	83205L136	83205L110	83205L128		SMTRDW18	9/10/2020	0.02330	0.00895
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 18	35-2676937	83205L102	83205L136	83205L110	83205L128		SMTRDW18	10/10/2020	0.01210	0.00465
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	3/10/2020	0.01700	0.00396
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	4/10/2020	0.02210	0.00515
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	5/10/2020	0.01620	0.00378
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	6/10/2020	0.02090	0.00487
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	7/10/2020	0.02710	0.00632
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	8/10/2020	0.01210	0.00282
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	9/10/2020	0.02060	0.00480
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	10/10/2020	0.02700	0.00630
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	11/10/2020	0.01190	0.00278
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	12/10/2020	0.02210	0.00515
SmartTrust®, Defensive 50 Equities Trust, Series 22	35-2676952	83206G102	83206G136	83206G110	83206G128		STDEFE22	12/31/2020	0.14050	0.14050
SmartTrust®, Argus Modern Innovators Trust, Series6	35-2679326	831704549	831704572	831704556	831704564		SMTRMI06	4/10/2020	0.01630	0.00679
SmartTrust®, Argus Modern Innovators Trust, Series6	35-2679326	831704549	831704572	831704556	831704564		SMTRMI06	7/10/2020	0.01890	0.00787
SmartTrust®, Argus Modern Innovators Trust, Series6	35-2679326	831704549	831704572	831704556	831704564		SMTRMI06	10/10/2020	0.01900	0.00792
SmartTrust®, Argus Modern Innovators Trust, Series6	35-2679326	831704549	831704572	831704556	831704564		SMTRMI06	12/31/2020	0.00800	0.00333
SmartTrust®, E-Commerce Ecosystem Trust, Series 6	35-2679327	831704580	831704614	831704598	831704606		SMTREC06	5/10/2020	0.01330	0.00403
SmartTrust®, E-Commerce Ecosystem Trust, Series 6	35-2679327	831704580	831704614	831704598	831704606		SMTREC06	8/10/2020	0.01160	0.00351
SmartTrust®, E-Commerce Ecosystem Trust, Series 6	35-2679327	831704580	831704614	831704598	831704606		SMTREC06	10/10/2020	0.05750	0.01741
SmartTrust®, E-Commerce Ecosystem Trust, Series 6	35-2679327	831704580	831704614	831704598	831704606		SMTREC06	12/10/2020	0.05820	0.01762
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	3/10/2020	0.01880	0.00290
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	4/10/2020	0.06510	0.01003
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	5/10/2020	0.03830	0.00590
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	6/10/2020	0.03540	0.00545
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	7/10/2020	0.05510	0.00849
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	8/10/2020	0.03850	0.00593
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	9/10/2020	0.03720	0.00573
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	10/10/2020	0.04720	0.00727
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	11/10/2020	0.04180	0.00644
SmartTrust®, Strategic Growth & Income Trust, Series 20	35-2679328	831704622	831704655	831704630	831704648		SMTRSG20	12/10/2020	0.03780	0.00582
SmartTrust®, Interest Rate Outlook Trust, Series 1	35-2679329	831926183	831926217	831926191	831926209		STIROT01	4/10/2020	0.37260	0.37051
SmartTrust®, Interest Rate Outlook Trust, Series 1	35-2679329	831926183	831926217	831926191	831926209		STIROT01	7/10/2020	0.13500	0.13424

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SmartTrust®, SMID Strength Trust, Series 6	35-2679330	831704663	831704697	831704671	831704689		STSMID06	12/31/2020	0.00330	0.00330
SmartTrust®, Dynamic Sector Income Trust, Series 26	35-2679331	831704705	831704739	831704713	831704721		SMTRDS26	4/10/2020	0.03230	0.00188
SmartTrust®, Dynamic Sector Income Trust, Series 26	35-2679331	831704705	831704739	831704713	831704721		SMTRDS26	5/10/2020	0.02780	0.00162
SmartTrust®, Dynamic Sector Income Trust, Series 26	35-2679331	831704705	831704739	831704713	831704721		SMTRDS26	6/10/2020	0.02970	0.00173
SmartTrust®, Dynamic Sector Income Trust, Series 26	35-2679331	831704705	831704739	831704713	831704721		SMTRDS26	7/10/2020	0.02520	0.00147
SmartTrust®, Dynamic Sector Income Trust, Series 26	35-2679331	831704705	831704739	831704713	831704721		SMTRDS26	8/10/2020	0.02430	0.00142
SmartTrust®, Dynamic Sector Income Trust, Series 26	35-2679331	831704705	831704739	831704713	831704721		SMTRDS26	9/10/2020	0.03220	0.00188
SmartTrust®, Dynamic Sector Income Trust, Series 26	35-2679331	831704705	831704739	831704713	831704721		SMTRDS26	10/10/2020	0.02650	0.00154
SmartTrust®, Dynamic Sector Income Trust, Series 26	35-2679331	831704705	831704739	831704713	831704721		SMTRDS26	11/10/2020	0.02240	0.00131
SmartTrust®, Dynamic Sector Income Trust, Series 26	35-2679331	831704705	831704739	831704713	831704721		SMTRDS26	12/10/2020	0.04270	0.00249
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	4/10/2020	0.02400	0.00127
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	5/10/2020	0.02800	0.00148
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	6/10/2020	0.03000	0.00159
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	7/10/2020	0.03300	0.00175
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	8/10/2020	0.03260	0.00172
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	9/10/2020	0.03290	0.00174
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	10/10/2020	0.03090	0.00163
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	11/10/2020	0.03630	0.00192
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	12/10/2020	0.03170	0.00168
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 20	35-2679398	831704747	831704770	831704754	831704762		STNYCE20	12/31/2020	0.00290	0.00015
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	4/10/2020	0.04540	0.00282
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	5/10/2020	0.05340	0.00332
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	6/10/2020	0.04350	0.00271
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	7/10/2020	0.16560	0.01030
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	8/10/2020	0.07740	0.00481
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	9/10/2020	0.09180	0.00571
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	10/10/2020	0.14270	0.00888
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	11/10/2020	0.10290	0.00640
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	12/10/2020	0.07050	0.00439
SmartTrust®, CEFA Select BDC Trust, Series 18	35-2679399	831704788	831704812	831704796	831704804		STBDC018	12/31/2020	0.14990	0.00932
SmartTrust®, Preferreds Plus Trust, Series 12	35-2679400	831926100	831926134	831926118	831926126		SMTRPP12	5/10/2020	0.02160	0.00018
SmartTrust®, Preferreds Plus Trust, Series 12	35-2679400	831926100	831926134	831926118	831926126		SMTRPP12	6/10/2020	0.04150	0.00034
SmartTrust®, Preferreds Plus Trust, Series 12	35-2679400	831926100	831926134	831926118	831926126		SMTRPP12	7/10/2020	0.08730	0.00072
SmartTrust®, Preferreds Plus Trust, Series 12	35-2679400	831926100	831926134	831926118	831926126		SMTRPP12	8/10/2020	0.02120	0.00017
SmartTrust®, Preferreds Plus Trust, Series 12	35-2679400	831926100	831926134	831926118	831926126		SMTRPP12	9/10/2020	0.03250	0.00027
SmartTrust®, Preferreds Plus Trust, Series 12	35-2679400	831926100	831926134	831926118	831926126		SMTRPP12	10/10/2020	0.09390	0.00077
SmartTrust®, Preferreds Plus Trust, Series 12	35-2679400	831926100	831926134	831926118	831926126		SMTRPP12	11/10/2020	0.02360	0.00019
SmartTrust®, Preferreds Plus Trust, Series 12	35-2679400	831926100	831926134	831926118	831926126		SMTRPP12	12/10/2020	0.03260	0.00027
SmartTrust®, Preferreds Plus Trust, Series 12	35-2679400	831926100	831926134	831926118	831926126		SMTRPP12	12/31/2020	0.04510	0.00037
SmartTrust®, Healthcare Innovations Trust, Series9	35-2685938	831926621	831926654	831926639	831926647		SMTRHC09	11/10/2020	1.53630	0.83821
SmartTrust®, Healthcare Innovations Trust, Series9	35-2685938	831926621	831926654	831926639	831926647		SMTRHC09	12/10/2020	1.16520	0.63573
SmartTrust®, Technology Revolution Trust, Series 5	35-2685940	831926662	831926696	831926670	831926688		SMTRTR05	10/10/2020	0.01190	0.01166
SmartTrust®, Technology Revolution Trust, Series 5	35-2685940	831926662	831926696	831926670	831926688		SMTRTR05	12/10/2020	0.01040	0.01019
SmartTrust®, Sustainable Impact Investing Trust, Series 16	35-2685941	831926746	831926779	831926753	831926761		SMTRSI16	9/10/2020	0.01740	0.01293
SmartTrust®, Sustainable Impact Investing Trust, Series 16	35-2685941	831926746	831926779	831926753	831926761		SMTRSI16	11/10/2020	0.01310	0.00974
SmartTrust®, Sustainable Impact Investing Trust, Series 16	35-2685941	831926746	831926779	831926753	831926761		SMTRSI16	12/10/2020	0.01390	0.01033
SmartTrust®, Sustainable Impact Investing Trust, Series 16	35-2685941	831926746	831926779	831926753	831926761		SMTRSI16	12/31/2020	0.00350	0.00260
SmartTrust®, Strategic Growth & Income Trust, Series 21	35-2685942	831926704	831926738	831926712	831926720		SMTRSG21	7/10/2020	0.04250	0.00516
SmartTrust®, Strategic Growth & Income Trust, Series 21	35-2685942	831926704	831926738	831926712	831926720		SMTRSG21	8/10/2020	0.03990	0.00484
SmartTrust®, Strategic Growth & Income Trust, Series 21	35-2685942	831926704	831926738	831926712	831926720		SMTRSG21	9/10/2020	0.04660	0.00566
SmartTrust®, Strategic Growth & Income Trust, Series 21	35-2685942	831926704	831926738	831926712	831926720		SMTRSG21	10/10/2020	0.04630	0.00562
SmartTrust®, Strategic Growth & Income Trust, Series 21	35-2685942	831926704	831926738	831926712	831926720		SMTRSG21	11/10/2020	0.03450	0.00419
SmartTrust®, Strategic Growth & Income Trust, Series 21	35-2685942	831926704	831926738	831926712	831926720		SMTRSG21	12/10/2020	0.04420	0.00537
SmartTrust®, Strategic Growth & Income Trust, Series 21	35-2685942	831926704	831926738	831926712	831926720		SMTRSG21	12/31/2020	0.08910	0.01082
SmartTrust®, Dynamic Sector Income Trust, Series 27	35-2685943	83205D100	83205D134	83205D118	83205D126		SMTRDS27	8/10/2020	0.02350	0.00277
SmartTrust®, Dynamic Sector Income Trust, Series 27	35-2685943	83205D100	83205D134	83205D118	83205D126		SMTRDS27	9/10/2020	0.02730	0.00321
SmartTrust®, Dynamic Sector Income Trust, Series 27	35-2685943	83205D100	83205D134	83205D118	83205D126		SMTRDS27	10/10/2020	0.03480	0.00410
SmartTrust®, Dynamic Sector Income Trust, Series 27	35-2685943	83205D100	83205D134	83205D118	83205D126		SMTRDS27	11/10/2020	0.01640	0.00193
SmartTrust®, Dynamic Sector Income Trust, Series 27	35-2685943	83205D100	83205D134	83205D118	83205D126		SMTRDS27	12/10/2020	0.03260	0.00384
SmartTrust®, Dynamic Sector Income Trust, Series 27	35-2685943	83205D100	83205D134	83205D118	83205D126		SMTRDS27	12/18/2020	0.01010	0.00291
SmartTrust®, Dynamic Sector Income Trust, Series 27	35-2685943	83205D100	83205D134	83205D118	83205D126		SMTRDS27	12/31/2020	0.04080	0.00480
SmartTrust®, 60/40 Quality Allocation Trust, Series 3	35-2687323	83192L263	83192L297	83192L271	83192L289		SMTRSF03	12/18/2020	0.01010	0.00082
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 20	35-2687324	83192L420	83192L453	83192L438	83192L446		SMTRDW20	10/10/2020	0.02250	0.02250
SmartTrust®, Defensive 50 Equities Trust, Series 24	35-2687325	83192L388	83192L412	83192L396	83192L404		STDEFE24	9/10/2020	0.01450	0.00511
SmartTrust®, Defensive 50 Equities Trust, Series 24	35-2687325	83192L388	83192L412	83192L396	83192L404		STDEFE24	10/10/2020	0.02000	0.00705
SmartTrust®, Defensive 50 Equities Trust, Series 24	35-2687325	83192L388	83192L412	83192L396	83192L404		STDEFE24	12/10/2020	0.02330	0.00821
SmartTrust®, Defensive 50 Equities Trust, Series 24	35-2687325	83192L388	83192L412	83192L396	83192L404		STDEFE24	12/31/2020	0.01510	0.00532
SmartTrust®, Argus Modern Innovators Trust, Series7	35-2687343	83192L628	83192L651	83192L636	83192L644		SMTRMI07	11/10/2020	0.01210	0.00115
SmartTrust®, Argus Modern Innovators Trust, Series7	35-2687343	83192L628	83192L651	83192L636	83192L644		SMTRMI07	12/31/2020	0.02460	0.00235
SmartTrust®, E-Commerce Ecosystem Trust, Series 7	35-2687344	83192L669	83192L693	83192L677	83192L685		SMTREC07	11/10/2020	0.01030	0.00346
SmartTrust®, E-Commerce Ecosystem Trust, Series 7	35-2687344	83192L669	83192L693	83192L677	83192L685		SMTREC07	12/31/2020	0.02180	0.00732
SmartTrust®, Interest Rate Outlook Trust, Series 2	35-2687345	83192L701	83192L735	83192L719	83192L727		STIROT02	12/18/2020	0.01010	0.00207
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 22	35-2687347	83192L545	83192L578	83192L552	83192L560		SMTRIG22	10/10/2020	0.06110	0.00782
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 22	35-2687347	83192L545	83192L578	83192L552	83192L560		SMTRIG22	11/10/2020	0.02870	0.00367
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 22	35-2687347	83192L545	83192L578	83192L552	83192L560		SMTRIG22	12/10/2020	0.05020	0.00643
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 22	35-2687347	83192L545	83192L578	83192L552	83192L560		SMTRIG22	12/31/2020	0.09560	0.01224
SmartTrust®, Tax-Advantaged Growth & Income, Series 23	35-2687348	83192L586	83192L610	83192L594	83192L602		SMTRTA23	10/10/2020	0.03420	0.01481
SmartTrust®, Tax-Advantaged Growth & Income, Series 23	35-2687348	83192L586	83192L610	83192L594	83192L602		SMTRTA23	11/10/2020	0.03090	0.01338
SmartTrust®, Tax-Advantaged Growth & Income, Series 23	35-2687348	83192L586	83192L610	83192L594	83192L602		SMTRTA23	12/10/2020	0.04370	0.01892
SmartTrust®, Tax-Advantaged Growth & Income, Series 23	35-2687348	83192L586	83192L610	83192L594	83192L602		SMTRTA23	12/31/2020	0.01800	0.00779
SmartTrust®, New American Economy Trust, Series 1	35-2687349	831926787	831926811	831926795	831926803		STNAET01	12/18/2020	0.01010	0.00390
SmartTrust®, New York Municipal Portfolio of Closed-End Funds Trust, Series 21	35-2687350	83192L743	83192L776	83192L750	83192L768		STNYCE21	12/18/2020	0.01010	0.00203
SmartTrust®, California Municipal Portfolio of Closed-End Funds Trust, Series 1	35-2692299	83192N145	83192N178	83192N152	83192N160		STCACE15	12/18/2020	0.01010	0.00069
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 15	35-2692300	83192N186	83192N210	83192N194	83192N202		SMTRMD15	12/10/2020	0.04460	0.00050
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 15	35-2692300	83192N186	83192N210	83192N194	83192N202		SMTRMD15	12/18/2020	0.01360	0.01360
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 15	35-2692300	83192N186	83192N210	83192N194	83192N202		SMTRMD15	12/31/2020	0.06290	0.00070

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Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 26	35-2692301	83192N103	83192N137	83192N111	83192N129		SMTRAR26	12/18/2020	0.01010	0.00254
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 21	35-2692303	83192N301	83192N335	83192N319	83192N327		SMTRDW21	12/31/2020	0.00070	0.00070
SmartTrust®, Defensive 50 Equities Trust, Series 25	35-2692304	83192N269	83192N293	83192N277	83192N285		STDEFE25	12/18/2020	0.01010	0.00179
SmartTrust®, Dynamic Sector Income Trust, Series 28	35-2692372	83192N426	83192N459	83192N434	83192N442		SMTRDS28	12/18/2020	0.01010	0.00231
SmartTrust®, Technology Revolution Trust, Series 6	35-2692375	83192N541	83192N574	83192N558	83192N566		SMTRTR06	12/31/2020	0.02010	0.00080
SmartTrust®, CEFA Select BDC Trust, Series 20	35-2692376	83192N582	83192N616	83192N590	83192N608		STBDC020	12/31/2020	0.21260	0.00848
SmartTrust®, Fundamental Equity Contrarians Trust,Series 4	35-2692377	83205H101	83205H135	83205H119	83205H127		STFECT04	12/31/2020	0.01300	0.00049
SmartTrust®, Sustainable Impact Investing Trust, Series 17	35-2692378	83192L826	83192L859	83192L834	83192L842		SMTRSI17	12/31/2020	0.00760	0.00014
SmartTrust®, Preferreds Plus Trust, Series 14	35-2694970	83205H143	83205H176	83205H150	83205H168		SMTRPP14	12/31/2020	0.03740	0.00005
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 23	35-2694971	83205H184	83205H218	83205H192	83205H200		SMTRIG23	12/31/2020	0.02400	0.00730
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 13	35-7265281	831926308	831926332	831926316	831926324		SMTRMD13	6/10/2020	0.04680	0.01001
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 13	35-7265281	831926308	831926332	831926316	831926324		SMTRMD13	7/10/2020	0.03550	0.00759
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 13	35-7265281	831926308	831926332	831926316	831926324		SMTRMD13	8/10/2020	0.01610	0.00344
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 13	35-7265281	831926308	831926332	831926316	831926324		SMTRMD13	9/10/2020	0.05430	0.01161
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 13	35-7265281	831926308	831926332	831926316	831926324		SMTRMD13	10/10/2020	0.03560	0.00761
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 13	35-7265281	831926308	831926332	831926316	831926324		SMTRMD13	11/10/2020	0.01360	0.00291
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 13	35-7265281	831926308	831926332	831926316	831926324		SMTRMD13	12/10/2020	0.05580	0.01194
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 13	35-7265281	831926308	831926332	831926316	831926324		SMTRMD13	12/31/2020	0.04000	0.00856
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 24	35-7265282	831926225	831926258	831926233	831926241		SMTRAR24	7/10/2020	0.01940	0.00822
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 24	35-7265282	831926225	831926258	831926233	831926241		SMTRAR24	9/10/2020	0.01550	0.00656
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 24	35-7265282	831926225	831926258	831926233	831926241		SMTRAR24	10/10/2020	0.01320	0.00559
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 24	35-7265282	831926225	831926258	831926233	831926241		SMTRAR24	12/10/2020	0.01980	0.00839
SmartTrust®, California Municipal Portfolio of Closed-End Funds Trust, Series 1	35-7265284	831926340	831926373	831926357	831926365		STCACE14	6/10/2020	0.02860	0.00238
SmartTrust®, California Municipal Portfolio of Closed-End Funds Trust, Series 1	35-7265284	831926340	831926373	831926357	831926365		STCACE14	7/10/2020	0.03330	0.00277
SmartTrust®, California Municipal Portfolio of Closed-End Funds Trust, Series 1	35-7265284	831926340	831926373	831926357	831926365		STCACE14	8/10/2020	0.03450	0.00287
SmartTrust®, California Municipal Portfolio of Closed-End Funds Trust, Series 1	35-7265284	831926340	831926373	831926357	831926365		STCACE14	9/10/2020	0.03490	0.00290
SmartTrust®, California Municipal Portfolio of Closed-End Funds Trust, Series 1	35-7265284	831926340	831926373	831926357	831926365		STCACE14	10/10/2020	0.03090	0.00257
SmartTrust®, California Municipal Portfolio of Closed-End Funds Trust, Series 1	35-7265284	831926340	831926373	831926357	831926365		STCACE14	11/10/2020	0.03520	0.00293
SmartTrust®, California Municipal Portfolio of Closed-End Funds Trust, Series 1	35-7265284	831926340	831926373	831926357	831926365		STCACE14	12/10/2020	0.03520	0.00293
SmartTrust®, California Municipal Portfolio of Closed-End Funds Trust, Series 1	35-7265284	831926340	831926373	831926357	831926365		STCACE14	12/31/2020	0.00230	0.00019
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 19	35-7265285	831926423	831926456	831926431	831926449		SMTRDW19	6/10/2020	0.01490	0.00280
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 19	35-7265285	831926423	831926456	831926431	831926449		SMTRDW19	7/10/2020	0.01840	0.00345
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 19	35-7265285	831926423	831926456	831926431	831926449		SMTRDW19	8/10/2020	0.01800	0.00338
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 19	35-7265285	831926423	831926456	831926431	831926449		SMTRDW19	9/10/2020	0.03320	0.00623
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 19	35-7265285	831926423	831926456	831926431	831926449		SMTRDW19	10/10/2020	0.01990	0.00374
SmartTrust®, Dorsey Wright® International MomentumTrust, Series 19	35-7265285	831926423	831926456	831926431	831926449		SMTRDW19	12/10/2020	0.01400	0.00263
SmartTrust®, Defensive 50 Equities Trust, Series 23	35-7265286	831926381	831926415	831926399	831926407		STDEFE23	6/10/2020	0.01520	0.00394
SmartTrust®, Defensive 50 Equities Trust, Series 23	35-7265286	831926381	831926415	831926399	831926407		STDEFE23	7/10/2020	0.02530	0.00655
SmartTrust®, Defensive 50 Equities Trust, Series 23	35-7265286	831926381	831926415	831926399	831926407		STDEFE23	9/10/2020	0.02890	0.00748
SmartTrust®, Defensive 50 Equities Trust, Series 23	35-7265286	831926381	831926415	831926399	831926407		STDEFE23	10/10/2020	0.02430	0.00629
SmartTrust®, Defensive 50 Equities Trust, Series 23	35-7265286	831926381	831926415	831926399	831926407		STDEFE23	11/10/2020	0.01270	0.00329
SmartTrust®, Defensive 50 Equities Trust, Series 23	35-7265286	831926381	831926415	831926399	831926407		STDEFE23	12/10/2020	0.01980	0.00513
SmartTrust®, Enhanced Value II Trust, Series 26	35-7265287	831926506	831926530	831926514	831926522		SMTREV26	7/10/2020	0.02410	0.00287
SmartTrust®, Enhanced Value II Trust, Series 26	35-7265287	831926506	831926530	831926514	831926522		SMTREV26	8/10/2020	0.05490	0.00654
SmartTrust®, Enhanced Value II Trust, Series 26	35-7265287	831926506	831926530	831926514	831926522		SMTREV26	9/10/2020	0.01090	0.00130
SmartTrust®, Enhanced Value II Trust, Series 26	35-7265287	831926506	831926530	831926514	831926522		SMTREV26	10/10/2020	0.03360	0.00400
SmartTrust®, Enhanced Value II Trust, Series 26	35-7265287	831926506	831926530	831926514	831926522		SMTREV26	11/10/2020	0.03950	0.00470
SmartTrust®, Enhanced Value II Trust, Series 26	35-7265287	831926506	831926530	831926514	831926522		SMTREV26	12/10/2020	0.04090	0.00487
SmartTrust®, Enhanced Value II Trust, Series 26	35-7265287	831926506	831926530	831926514	831926522		SMTREV26	12/18/2020	0.01010	0.01010
SmartTrust®, Enhanced Value II Trust, Series 26	35-7265287	831926506	831926530	831926514	831926522		SMTREV26	12/31/2020	0.05580	0.00665
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 21	35-7265288	831926548	831926571	831926555	831926563		SMTRIG21	6/10/2020	0.04240	0.00113
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 21	35-7265288	831926548	831926571	831926555	831926563		SMTRIG21	7/10/2020	0.04980	0.00133
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 21	35-7265288	831926548	831926571	831926555	831926563		SMTRIG21	8/10/2020	0.04570	0.00122
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 21	35-7265288	831926548	831926571	831926555	831926563		SMTRIG21	9/10/2020	0.04070	0.00109
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 21	35-7265288	831926548	831926571	831926555	831926563		SMTRIG21	10/10/2020	0.04960	0.00132
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 21	35-7265288	831926548	831926571	831926555	831926563		SMTRIG21	11/10/2020	0.04450	0.00119
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 21	35-7265288	831926548	831926571	831926555	831926563		SMTRIG21	12/10/2020	0.04440	0.00119
SmartTrust®, Portfolio of Closed-End Fund Opportunities Trust, Series 21	35-7265288	831926548	831926571	831926555	831926563		SMTRIG21	12/31/2020	0.06380	0.00170
SmartTrust®, Tax-Advantaged Growth & Income, Series 22	35-7265289	831926589	831926613	831926597	831926605		SMTRTA22	6/10/2020	0.03100	0.00162
SmartTrust®, Tax-Advantaged Growth & Income, Series 22	35-7265289	831926589	831926613	831926597	831926605		SMTRTA22	7/10/2020	0.03790	0.00199
SmartTrust®, Tax-Advantaged Growth & Income, Series 22	35-7265289	831926589	831926613	831926597	831926605		SMTRTA22	8/10/2020	0.03330	0.00174
SmartTrust®, Tax-Advantaged Growth & Income, Series 22	35-7265289	831926589	831926613	831926597	831926605		SMTRTA22	9/10/2020	0.03460	0.00181
SmartTrust®, Tax-Advantaged Growth & Income, Series 22	35-7265289	831926589	831926613	831926597	831926605		SMTRTA22	10/10/2020	0.03810	0.00200
SmartTrust®, Tax-Advantaged Growth & Income, Series 22	35-7265289	831926589	831926613	831926597	831926605		SMTRTA22	11/10/2020	0.02980	0.00156
SmartTrust®, Tax-Advantaged Growth & Income, Series 22	35-7265289	831926589	831926613	831926597	831926605		SMTRTA22	12/10/2020	0.04510	0.00236
SmartTrust®, Tax-Advantaged Growth & Income, Series 22	35-7265289	831926589	831926613	831926597	831926605		SMTRTA22	12/31/2020	0.04330	0.00227
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	1/10/2020	0.04730	0.00876
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	2/10/2020	0.03490	0.00646
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	3/10/2020	0.02320	0.00430
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	4/10/2020	0.04540	0.00841
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	5/10/2020	0.02840	0.00526
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	6/10/2020	0.03710	0.00687
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	7/10/2020	0.03360	0.00622
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	8/10/2020	0.02650	0.00491
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	9/10/2020	0.02390	0.00443
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	10/10/2020	0.02570	0.00476
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	11/10/2020	0.03340	0.00619
SmartTrust®, Dynamic Sector Income Trust, Series 23	83-3117346	83202G106	83202G130	83202G114	83202G122		SMTRDS23	12/10/2020	0.02290	0.00424
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	1/10/2020	0.02190	0.00543
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	2/10/2020	0.04210	0.01043
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	3/10/2020	0.02960	0.00733
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	4/10/2020	0.03750	0.00929
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	4/10/2020	0.24160	0.24160
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	5/10/2020	0.03370	0.00835
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	6/10/2020	0.02270	0.00563
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	7/10/2020	0.02890	0.00716
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	8/10/2020	0.02610	0.00647
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	9/10/2020	0.02200	0.00545

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Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	10/10/2020	0.02510	0.00622
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	11/10/2020	0.02300	0.00570
SmartTrust, Rising Interest Rates Hedge Trust, Series 12	83-3117521	83201U106	83201U130	83201U114	83201U122		STRIRH12	12/10/2020	0.02110	0.00523
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	1/10/2020	0.03900	0.01182
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	2/10/2020	0.06110	0.01851
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	3/10/2020	0.04770	0.01445
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	4/10/2020	0.04240	0.01285
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	5/10/2020	0.06660	0.02018
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	6/10/2020	0.04590	0.01391
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	7/10/2020	0.03560	0.01079
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	8/10/2020	0.04890	0.01482
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	9/10/2020	0.04230	0.01282
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	10/10/2020	0.03490	0.01057
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	11/10/2020	0.04890	0.01482
SmartTrust, Strategic Growth & Income Trust, Series 17	83-3117655	83202R102	83202R136	83202R110	83202R128		SMTRSG17	12/10/2020	0.04100	0.01242
SmartTrust®, Tax Free Income Trust, Series 35	85-1447642	831926829	831926852	831926837	831926845		SMTRTF35	8/10/2020	0.03200	0.00155
SmartTrust®, Tax Free Income Trust, Series 35	85-1447642	831926829	831926852	831926837	831926845		SMTRTF35	9/10/2020	0.03740	0.00181
SmartTrust®, Tax Free Income Trust, Series 35	85-1447642	831926829	831926852	831926837	831926845		SMTRTF35	10/10/2020	0.03880	0.00187
SmartTrust®, Tax Free Income Trust, Series 35	85-1447642	831926829	831926852	831926837	831926845		SMTRTF35	11/10/2020	0.03790	0.00183
SmartTrust®, Tax Free Income Trust, Series 35	85-1447642	831926829	831926852	831926837	831926845		SMTRTF35	12/10/2020	0.03930	0.00190
SmartTrust®, Tax Free Income Trust, Series 35	85-1447642	831926829	831926852	831926837	831926845		SMTRTF35	12/31/2020	0.01850	0.00089
SmartTrust®, Preferreds Plus Trust, Series 13	85-1464894	83192L305	83192L339	83192L313	83192L321		SMTRPP13	9/10/2020	0.01720	0.00267
SmartTrust®, Preferreds Plus Trust, Series 13	85-1464894	83192L305	83192L339	83192L313	83192L321		SMTRPP13	10/10/2020	0.07350	0.01141
SmartTrust®, Preferreds Plus Trust, Series 13	85-1464894	83192L305	83192L339	83192L313	83192L321		SMTRPP13	11/10/2020	0.02360	0.00367
SmartTrust®, Preferreds Plus Trust, Series 13	85-1464894	83192L305	83192L339	83192L313	83192L321		SMTRPP13	12/10/2020	0.01960	0.00304
SmartTrust®, Preferreds Plus Trust, Series 13	85-1464894	83192L305	83192L339	83192L313	83192L321		SMTRPP13	12/31/2020	0.06150	0.00955
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 14	85-1493565	83192L180	83192L214	83192L198	83192L206		SMTRMD14	9/10/2020	0.04330	0.00597
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 14	85-1493565	83192L180	83192L214	83192L198	83192L206		SMTRMD14	10/10/2020	0.03160	0.00436
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 14	85-1493565	83192L180	83192L214	83192L198	83192L206		SMTRMD14	11/10/2020	0.01090	0.00150
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 14	85-1493565	83192L180	83192L214	83192L198	83192L206		SMTRMD14	12/10/2020	0.04160	0.00574
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 14	85-1493565	83192L180	83192L214	83192L198	83192L206		SMTRMD14	12/18/2020	0.01010	0.01010
SmartTrust®, Morningstar Dividend Yield Select Trust, Series 14	85-1493565	83192L180	83192L214	83192L198	83192L206		SMTRMD14	12/31/2020	0.08210	0.01132
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 25	85-1514465	83192L107	83192L131	83192L115	83192L123		SMTRAR25	9/10/2020	0.01560	0.00872
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 25	85-1514465	83192L107	83192L131	83192L115	83192L123		SMTRAR25	11/10/2020	0.01150	0.00643
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 25	85-1514465	83192L107	83192L131	83192L115	83192L123		SMTRAR25	12/10/2020	0.01920	0.01073
SmartTrust®, Argus Dividend Growers Total Return Trust, Series 25	85-1514465	83192L107	83192L131	83192L115	83192L123		SMTRAR25	12/31/2020	0.00760	0.00425
SmartTrust®, Fundamental Equity Contrarians Trust,Series 3	85-1539341	83192L149	83192L172	83192L156	83192L164		STFECT03	10/10/2020	0.11260	0.06809
Any tax advice included in this written communication was not intended or written to be used, and it cannot be used by the taxpayer, for the purpose of avoiding any penalties that may be imposed by any governmental taxing authority or agency.										